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**NOTIFICATION OF ELECTION**  
(PCT Rule 61.2)

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Date of mailing: <p style="text-align: center;">02 June 2000 (02.06.00)</p>	
International application No.: <p style="text-align: center;">PCT/GB99/03831</p>	Applicant's or agent's file reference: <p style="text-align: center;">A25705 WO</p>
International filing date: <p style="text-align: center;">17 November 1999 (17.11.99)</p>	Priority date: <p style="text-align: center;">25 November 1998 (25.11.98)</p>
Applicant: <p style="text-align: center;">BALE, Melvyn, Christopher et al</p>	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International preliminary Examining Authority on:  

13 March 2000 (13.03.00)

☐ in a notice effecting later election filed with the International Bureau on:  

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2. The election ☒ was

☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

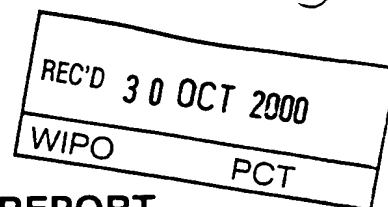
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# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)



Applicant's or agent's file reference <b>A25705 WO</b>		<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. <b>PCT/GB99/03831</b>	International filing date (day/month/year) <b>17/11/1999</b>	Priority date (day/month/year) <b>25/11/1998</b>	
International Patent Classification (IPC) or national classification and IPC <b>H04L12/58</b>			
Applicant <b>BRITISH TELECOMMUNICATIONS Public Limited...et al.</b>			

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 5 sheets, including this cover sheet.

☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☒ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand  <b>13/03/2000</b>	Date of completion of this report  <b>26.10.2000</b>
Name and mailing address of the international preliminary examining authority:   <b>European Patent Office</b> <b>D-80298 Munich</b> <b>Tel. +49 89 2399 - 0 Tx: 523656 epmu d</b> <b>Fax: +49 89 2399 - 4465</b>	Authorized officer  <b>van Hoorick, J</b>  <b>Telephone No. +49 89 2399 7508</b> 

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/GB99/03831

**I. Basis of the report**

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

**Description, pages:**

1-17 as originally filed

**Claims, No.:**

1-16 as originally filed

**Drawings, sheets:**

1/28-28/28 as originally filed

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:  
☐ the claims, Nos.:  
☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB99/03831

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## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. Statement

Novelty (N)	Yes:	Claims
	No:	Claims 1-3, 7, 9-12
Inventive step (IS)	Yes:	Claims
	No:	Claims 1-16
Industrial applicability (IA)	Yes:	Claims 1-16
	No:	Claims

### 2. Citations and explanations

**see separate sheet**

## VI. Certain documents cited

### 1. Certain published documents (Rule 70.10)

and / or

### 2. Non-written disclosures (Rule 70.9)

**see separate sheet**

## VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/GB99/03831

50-52 ]. The priority claim validity of the application has not been checked.

**Re. Section VII**

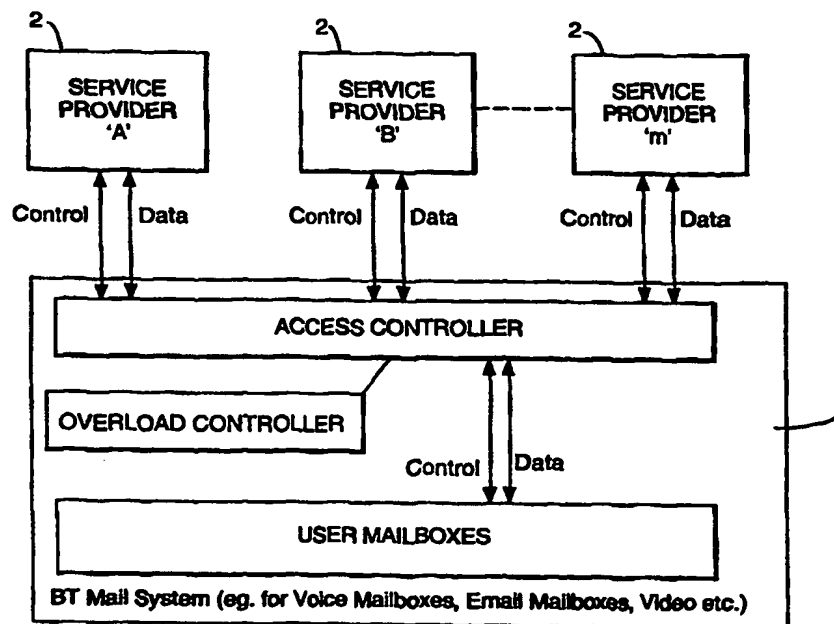
1. The independent claims are not in the two-part form in accordance with Rule 6.3(b) PCT, which in the present case would be appropriate, with those features known in combination from the prior art being placed in the preamble (Rule 6.3(b)(i) PCT) and with the remaining features being included in the characterising part (Rule 6.3(b)(ii) PCT).
2. The relevant background art disclosed in the document D1 is not mentioned in the description, Rule 5.1 (a)(ii) PCT.
3. The claims do not contain reference signs placed in parentheses, Rule 6.2(b) PCT.



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(21) International Application Number: PCT/GB99/03831 (22) International Filing Date: 17 November 1999 (17.11.99) (30) Priority Data: 98309679.3 25 November 1998 (25.11.98) EP (71) Applicant (for all designated States except US): BRITISH TELECOMMUNICATIONS PUBLIC LIMITED COMPANY [GB/GB]; 81 Newgate Street, London EC1A 7AJ (GB). (72) Inventors; and (75) Inventors/Applicants (for US only): BALE, Melvyn, Christopher [GB/GB]; 23 Digby Road, Ipswich, Suffolk IP4 3NB (GB). HENDERSON, Gordon [GB/GB]; 51 The Lloyds, Kesgrave, Suffolk IP5 2WH (GB). (74) Agent: WELLS, David; BT Group Legal Services, Intellectual Property Dept., 8th Floor, Holborn Centre, 120 Holborn, London EC1N 2TE (GB).			(81) Designated States: AU, CA, JP, SG, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>

(54) Title: MESSAGING PLATFORM



## (57) Abstract

A messaging platform, for example a platform storing email or voicemail, is provided with an overload controller which detects an overload condition and then acts to limit the loading of the platform. The overload controller may be associated with a control interface used, for example, for communication between a service provider and the message platform, and may selectively deny some of the requests received over that interface when the overload condition is detected.

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MESSAGING PLATFORM

The present invention relates to a messaging platform suitable for use in a communications network.

5        Users of communications networks are now commonly offered a variety of messaging services. By contrast with conventional telephony services in which calls are established in real time, in messaging services a message, which may be in any of a number of media, is deposited at one time and read at a later time. These services may include, for example, voicemail allowing the user to record a  
10 spoken message, faxmail that similarly allows a fax to be stored for later retrieval by the addressee, or email

According to a first aspect of the present invention, there is provided a messaging platform including

a) a message store arranged to receive message data and to store said  
15 message data for subsequent retrieval,  
characterised by

b) an overload controller responsive to an overload condition in the platform and arranged, in response to the said overload condition, to limit loading of the platform

20        While it is known to provide platforms for real-time telephony with overload control mechanisms, conventionally messaging platforms have been regarded as carrying out an "off-line" data storage and processing function and so have not included such overload control mechanisms. The present inventors have found however that the addition of an overload controller to a messaging platform  
25 significantly enhances the stability and scalability of the platform, that is to say its ability to adapt to increasing numbers of users.

The term "overload condition" as used in this document encompasses conditions in which the loading of the platform is approaching a level at which the operation of the platform would be impaired, as well as conditions in which such a  
30 level has already been reached. In general the overload controller will be arranged to limit the loading of the platform in such a way that the level at which impairment occurs is not reached.

Preferably the platform further comprises



c) a control interface arranged to receive control requests instructing transactions on the messaging platform, and the overload controller includes means for denying at least some of the control requests in response to the overload condition.

While at one time the messaging platforms supporting such services were operated solely under the control of the network operator, increasingly messaging services are operated by service providers other than the network operator. Accordingly it is necessary to provide the messaging platform with a control interface to allow communication of control signals with the service provider. In addition, in order to implement more advanced services which go beyond mere message deposit and retrieval, it necessary to provide users with some access to a control interface. The present inventors have recognised that overloading of the control interfaces on a messaging platform is a critical factor in degrading the reliability of such platforms. This preferred feature of the invention, by providing an overload controller on the control interface significantly enhances the performance of the platform and makes it possible to open control interfaces to service providers or to users without compromising the stability of the platform.

Preferably the overload controller includes a store programmed with criteria for applying different classes of service to (a) the mailboxes in the mail store, (b) the service providers accessing the mail store, (c) control messages at the control interface, or any combination of these. The overload controller is arranged, in response to an overload condition on the platform or the service provider, selectively to deny access to a control message depending on a class of service assigned in accordance with the said criteria to the control message.

Preferably the messaging platform includes a plurality of mailboxes containing message data, each mailbox being switchable between an open state, in which message data may be written to or read from the mailbox, and a closed state, and the overload controller is arranged to allow requests for the closing of a mailbox and to deny requests for the opening of the mailbox.

The inventors have found that a particularly effective means of limiting the loading of the platform, while also limiting the impact on ongoing transactions, is to admit requests for closing mailboxes and to deny requests for opening mailboxes. Since requests for opening mailboxes are normally followed by a number of related transactions, denying these requests, while allowing existing

transaction sequences to be terminated by the closing of a mailbox, quickly results in a reduction in the loading of the platform.

According to a second aspect of the present invention, there is provided a messaging system comprising:

- 5           a service platform running a messaging service application; and  
          a messaging platform in accordance with the first aspect connected to the service platform via a control interface, and arranged to receive control requests from the service platform via the control interface.

10           The service platform may be integrated with the messaging platform, but preferably is remote from it. Several such service platforms may communicate with a single messaging platform.

According to a third aspect of the present invention, there is provided a method of operating a messaging platform including:

- a) storing message data on the messaging platform:  
15           b) subsequently outputting message data from the platform, thereby allowing retrieval of a corresponding message;  
          characterised by  
          c) detecting an overload condition on the platform and in response to the overload condition limiting loading of the platform.

20           Systems embodying the present invention will now be described in further detail, by way of example only, with reference to the accompanying drawings, in which;

Figure 1 is a schematic of a network incorporating a messaging platform;

25           Figure 2 is a schematic of a platform embodying the invention for use in the network of Figure 1;

          Figures 3a to 3y are SDL diagrams showing in further detail the platform of Figure 2; and

Figure 4 is a diagram showing in further detail the platform of Figure 2.

30           As shown in Figure 1, a communications system includes a messaging platform 1. The messaging platform 1 is connected to a service provider platform 2. The service provider platform is connected to a number of communications networks including an IP (internet protocol) network 3 and the PSTN (public switched telephone network) 4. Customer terminals 5,6,7 are connected to the service provider platform variously via the PSTN and via the IP network. The

customer terminals may include for example, conventional fixed-line telephones, mobile telephones and also personal computers.

In use, customers may subscribe to a message service offered by the service provider. Then, for example, when a subscriber is not able to take a call  
5 from a calling party, the call may be diverted to the service provider platform. The service provider platform may then communicate data received from the caller, for example in the form of a recorded digitised voice message, to the messaging platform.

Figure 2 shows in further detail the messaging platform. This may be  
10 implemented, for example, using the BT CallMinder platform (described in [British Telecom Engineering Vol 15 Part 2 July 1996]), which is based on the Speech Applications Platform (described in [British Telecom Engineering Vol 13 Part 4 January 1995]). The CallMinder platform is an integrated platform implementing both a service application platform and the messaging platform. Alternatively a  
15 distributed architecture may be used in which a number of service provider platforms communicate with a message platform over a network. In this case, a suitable architecture is that described in Messaging APIs for Voice Networks, RM Claxton, Sixth IEE Conference on Telecommunications (no. 451) 1998.

As shown in Figure 2, a number of service providers, A,B,...m are  
20 connected to the messaging platform by control and data channels. As noted in the introduction above, some end users may themselves be given access to the control interface of the messaging platform, and the term "service provider" is used here broadly to encompass any party accessing the control interface, including such end users. The control channels are used for registration  
25 commands, for example to set event detection triggers on the platform to generate a notification whenever a message is left for a specified subscriber, and for action commands, for example to carry out actions such as replaying a message, saving a message or deleting a message. Both data and control channels from the service providers pass in the first instance to an access controller. The access controller is  
30 connected to an overload controller. The overload controller and access controller in combination function to protect the platform from overloading by signals arriving on the service provider interface. The overload controller detects overload conditions, for example by monitoring the rate of transactions between the access controller and service providers or alternatively by monitoring the processor

utilisation of the mail store processors. When an overload condition is detected by the overload controller then the overload controller configures the access controller to deny access to the platform to certain signals (for example, requests to open a low priority mailbox in the mail store). Different types of signals are assigned  
 5 different priorities. Three alternative schemes are described below by way of example.

#### Scheme 1

In this scheme, different service classes are applied to different service providers. During overload conditions, as monitored by a load controller, those  
 10 subscribing to a higher priority class of service level agreement are given priority over those requests coming from a Service Provider with a lower priority class. Hence, actions pertaining to the particular mailboxes used by customers of the higher priority class of service would receive preferential treatment. It is envisaged that a range of service classes will be defined, which will correspond to the  
 15 overload mechanism achieving a specified quality of service for those classes. Examples of service classes are given in Table 1 below.

<b><i>Service Class</i></b>	<b><i>Description</i></b>
<b><i>III</i></b>	Guaranteed end user access 99.99% of the time Guaranteed Service Provider notifications within 30 seconds, 99.99% of the time
<b><i>II</i></b>	Guaranteed end user access 98.0 % of the time Guaranteed Service Provider notifications within 30 seconds, 90.0% of the time Guaranteed Service Provider notifications within 20 minutes, 99.99% of the time
<b><i>I</i></b>	No guaranteed end user access No guaranteed Service Provider notifications

#### Scheme 2

As in scheme 1 different service classes are used. In this case the different  
 20 service classes are applied to individual mailboxes for particular subscribers. Mailbox subscribers subscribing to higher service level classes are given priority

over requests for action associated with individual mailbox users with a lower priority class.

### Scheme 3

- 5 In this scheme, different priorities are applied to certain types of transaction across the service provider interface. For example, the ability to deposit a message from a caller into a mailbox could take priority over a change of profile request from the user depending upon the load conditions prevailing.

- 10 As a further alternative, elements of two or more of these schemes may be combined, so that the priority given to a certain signal at the service provider interface may depend upon a weighted combination of the service provider class, the subscriber class and the signalling type priority.

- A service provider application which itself becomes overloaded may also request that the mail system imposes an overload control scheme (such as those  
15 described above) to protect that application from overload.

- In operation, which ever scheme is used, the overload controller monitors an appropriate one or more loading parameters. In the present example this parameter is the rate of transactions between the service provider applications and the mailboxes which pass through the access controller. This mechanism provides  
20 a measure of mail system processing usage. Other examples of measuring processing load may be based on directly measuring the load on the platform Central Processor Units and other hardware devices (this information is usually available from operating systems). Alternatively, other load measures, such as the amount of storage utilised (disk and memory) can be measured. Again this  
25 information is provided by most operating systems (e.g. Windows 95 and UNIX both provide system information). Threshold levels for system loading are defined for the mail system. The thresholds are defined such that a defined quality of service can be met for particular service classes (seeTable 1), and are dependent upon the platform and Mail system being used, and the customer/service mix for  
30 the particular implementation. As these threshold levels are reached, the overload control management imposes overload control. The following controls can be imposed:

- refusing new requests by Service Providers to open low priority mailboxes,
- closing Service Provider access to low priority open mailboxes,

- refusing end user access to low priority mailboxes,
- queuing control messages and data transfers on the next highest priority open mailboxes.

If the next load threshold level is reached, the same overload controls will be imposed on the next highest priority mailboxes. If instead, the system load reduces, the overload controls set at that level are removed in reverse order to that in which they were applied.

In addition to responding to overload conditions within the platform, the overload controller may also be programmed to recognise and respond to signals from a service platform indicating that that platform is overloaded. The overload controller might then, for example, inhibit the transmission of notifications from the messaging platform to the overloaded service platform.

Figures 3a to 3y are SDL (Specification and Description Language [ITU-T Z.100]) diagrams showing in further detail the implementation of the messaging platform, including the overload controller. The structures shown may be compiled using commercially available software tools to provide code for running on the processors of the messaging platform. A description of the SDL diagrams follows:

#### 20 **Figure 3a: Diagram Structure**

Describes the structure of the following figures 3b to 3y.

#### **Figures 3b-3d: System mail\_system 1-3**

Defines the static limits of the described mail system, and type definitions and operators for variables used.

#### **Figure 3e: System mail\_system 4**

Defines all of the control signals used in the mail system.

#### 30 **Figure 3f: System mail\_system 5**

Defines the two main blocks of the system: the mail application block (i.e. the service provider platform) and the mail system block (i.e. the messaging platform). The interfaces between the blocks (the mail system API), and to/from the external environment are also defined.

**Figure 3g: Block mail\_application\_block 1**

Defines the processes and interfaces within the mail application block. In this case, only the mail application processes are defined.

5

**Figure 3h-3j: Process mail\_application 1-3**

Defines all of the control signals, variables, states, states transitions and actions of the mail application necessary to illustrate the present invention. The process contains one state ('alive'), and acts on a number of events as follows:

10 **user\_mailbox\_open:** A request from a user (or some other stimulus) to open a mailbox results in a request to the access controller to open a mailbox.

**user\_mailbox\_close:** A request from a user (or some other stimulus) to close a mailbox results in a request to the access controller to close a mailbox.

15 **user\_mailbox\_request:** A request from a user (or some other stimulus) to perform an action on an open mailbox usually results in a request to the access controller to perform an action on an open mailbox. If however, the mail system is overloaded, then the request will be queued.

20 **mail\_application\_overload\_detected:** An indication from the service provider platform (or some other stimulus) that the mail application is overloaded results in a request to the access controller to impose overload controls on open mailboxes opened by that application that are below a specified service class. Requests to these mailboxes are not queued.

25 **mail\_application\_overload\_ceased:** An indication from the service provider platform (or some other stimulus) that the mail application overload has ceased results in a request to the access controller to remove overload controls on open mailboxes opened by that application that are above a specified service class.

**UM\_opened:** Confirms that a mailbox has been opened.

**UM\_closed:** Confirms that a mailbox has been closed.

30 **UM\_open\_denied:** Indicates that a request to open a mailbox has been denied – for example because overload controls have been imposed.

**UM\_notification:** Indicates a notification from a mailbox, which is passed back to the application user (if appropriate). The notification could be the result of an earlier mail application request on the mailbox, or another (e.g. timer) event.

**queue\_UM\_requests:** Indicates that the access controller has imposed overload controls on a mailbox, and that the mail application should not make further requests on that mailbox. The mail application will queue subsequent requests.

- resume\_UM\_requests:** Indicates that the access controller has removed overload controls on a mailbox, and that the mail application can make further requests on that mailbox. Any pending requests in the queue will be immediately sent to the mail system.

### Figure 3k: Block mail\_system\_block 1

- 10 Defines the processes and interfaces within the mail system block. In this case, there are three processes: the single access controller, the single overload controller, and the multiple user mailboxes.

### Figure 3l-3p: Process access controller 1-5

- 15 Defines all of the control signals, variables, procedures, states, states transitions and actions of the access controller necessary to illustrate the present invention. The process contains one state ('alive') that is entered after the 'tr\_timer' has been set. The process acts on a number of events as follows:

- 20 **UM\_open:** A request from a mail application to open a mailbox is received. The transaction counter is incremented. If the mailbox has a higher priority ('class') than that set by the overload control mechanism, the mailbox is opened (by creating a user mailbox process), the identity of the mailbox is added to the linked list of open mailboxes, and the actions are confirmed to the mail application. Otherwise, the mail application is informed that the request is denied.

- 25 **UM\_close:** A request from a mail application to close a mailbox is received. The transaction counter is incremented. The mailbox is closed - by terminating the user mailbox process, the identity of the mailbox is removed from the linked list of open mailboxes, and the actions are confirmed to the mail application.

- 30 **UM\_request:** A request from a mail application to perform an operation on an open mailbox is received. The transaction counter is incremented. If the overload control is not applied to that mailbox, then the request is passed onto the mailbox. Otherwise, the request is placed in an request queue.

**set\_UM\_class\_bar:** A request from the overload controller to set the priority level ('class') at which mailboxes may be opened or controlled is received. If the priority



level is higher than the current priority level (the 'current\_class\_bar'), then mail applications with open mailboxes below the priority level are requested to queue further requests to that mailbox (procedure 'queue\_transactions'), the current priority level is raised to the new level. If the priority level is lower than the current priority level (the 'current\_class\_bar'), then any queued requests received from mail applications are unqueued (procedure 'unqueue\_requests'). Following this, mail applications with open mailboxes above the priority level are requested to resume further requests to that mailbox (procedure 'resume\_transactions'), and the current priority level is lowered to the new level.

- 10 **notification:** A notification from an open mailbox is received. The transaction counter is incremented, and the notification is passed on to the relevant mail application.

**impose\_UM\_load\_control:** A request from a mail application to impose load control on open mailboxes that were opened by the application is received. Mailboxes with a class less than or equal to the specified level are requested to queue up notifications, if not already doing so. Mailboxes with a class greater than the specified level are requested to resume notifications, if not already asked to do so. This mechanism does not override any controls imposed by the overload controller.

- 15 **tr\_timer:** A transaction timer expiry event is received. The transaction timer is set to expire every second. The current transaction rate is thus the value of the transaction counter, per second. This overload controller is informed of the current transaction rate, the transaction counter is reset to zero and the tr\_timer reset.

#### Figure 3q: Procedure get\_UM\_class 1

- 25 Defines the procedure for retrieving the provisioned service class of a mailbox from the management system for the mail system.

#### Figure 3r: Procedure queue\_transactions 1

- Defines the procedure for informing the mail application to queue requests to open mailboxes, and informing the mailbox to queue notifications, when overload controls are imposed.
- 30

**Figure 3s: Procedure resume\_transactions 1**

Defines the procedure for informing the mail application to queue requests to open mailboxes, and informing the mailbox to queue notifications, when overload controls are imposed.

**Figure 3t: Procedure unqueue\_requests 1**

Defines the procedure for unqueueing requests for operations on mailboxes when overload controls have been removed.

**Figure 3u: Process overload\_controller 1**

Defines all of the control signals, variables, procedures, states, states transitions and actions of the overload controller necessary to illustrate the present invention. The process contains one state ('monitoring') that is entered after the threshold levels for the overload controls have been set in the threshold levels table. The process acts on a number of events as follows:

**transaction\_rate:** An indication of the current transaction rate at the access controller is received. If this rate has fallen below the current minimum level, then the current overload priority ('UM\_class\_bar') is decremented (if it is not already at the lowest level). The threshold levels are then adjusted accordingly – from the threshold table, and the access control is requested to reduce or remove the overload control. If, alternatively, the rate has risen above the current maximum level, then the current overload priority ('UM\_class\_bar') is incremented (if it is not already at the highest level). The threshold levels are then adjusted accordingly and the access control is requested to increase the level of overload control.

**Figure 3v: Procedure set\_thresholds 1**

Defines the procedure for setting the threshold levels in the overload control threshold table. These levels define the points at which the overload controls will be imposed or removed.

**Figur 3w-3x: Process user\_mailbox 1-2**

Defines all of the control signals, variables, procedures, states, states transitions and actions of the user mailbox necessary to illustrate the present invention. The process contains one state ('alive'), and acts on a number of events as follows:

- 5 **queue\_notifications:** An request to queue notifications is received from the access controller. A flag is set indicate that future notifications should be queued.
- resume\_notifications:** An request to resume notifications is received from the access controller. A flag is cleared indicate that future notifications should no longer be queued, and any queued notifications are unqueued.
- 10 **request:** An request to perform an operation is received from the mail application via the access controller. The operation is performed as requested (procedure 'perform\_request').
- event:** An indication that an event has occurred in the mailbox (e.g. the result of an operation or a timer expiry) is received. If overload control has been imposed,
- 15 then the notification of the event to the mail application is queued. Otherwise, the notification is sent to the mail application via the access controller.
- stop\_mailbox:** An request to stop the user mailbox process is received from the access controller. The user mailbox process terminates, closing the mailbox.

20 **Figure 3y: Procedure perform\_request 1**

Defines the procedure for the mailbox to perform an operation requested by the mail application.

- Figure 4 illustrates an example of hardware systems used to implement
- 25 the present invention. It will be understood that the components and architecture shown here are given by way of example only, and many alternative components and architectures may be used equivalently. For example, rather than using platforms built from rack-mounted processors and storage devices, some or all of the platforms might be implemented on computer workstations. Such
- 30 workstations may be joined together by a network to provide a distributed computing system. As a further example of the alternatives available, storage devices are by no means limited to conventional hard disks, but might alternatively or in addition use magneto-optical or optical storage media. Also, as previously stated, one or more of the "service platforms" may be embodied in a customer

terminal that exercises a control function, for example in a personal computer, or in a so-called internet appliance such as a television set-top box arranged to provide Internet access, or in an intelligent telephone that provides the necessary control capabilities.

5 In Figure 4, end users connect to the Service Provider platform by telephone or computer. One or more Service Provider platforms are connected to the Mail Store platform. The Service Provider platform runs the mail applications (for example to collect messages, and permit retrieval of messages), interfaces to the end users, and sends control and data signals to the Mail Store platform to  
10 store and retrieve messages held in electronic format.

It is possible that the Service Provider platform and Mail Store Platform reside on the same computing node. In this case the buses B1 and B2 can be joined directly, therefore removing the need for interface I3, the Mail Store interface shelf (S3) and the Service Provider interface shelf (M3). Alternatively the  
15 platforms may be connected to each other via a network, for example the Internet, an ATM network, or a local area network LAN.

A description of the system follows:

## 20 Interfaces

Interface I1: This is a standard telephony interface, which allows end users to record or retrieve voice messages. The interface will usually be provided via a telephony network.

25 Interface I2: This is an electronic data interface to a computer (e.g. TCP/IP), which allows end users to record or retrieve voice or electronic messages. The interface will usually be provided via a data network such as the internet.

Interface I3: This is an electronic data interface which transports the control and  
30 data signals between the Service Provider and Mail Store platforms. This is typically implemented as an ethernet, frame-relay or X.25 data link, running the TCP/IP protocol. Control and Data messages may be carried using suitable protocols, such as the Internet File Transfer Protocol (FTP).

Interface I4: This is an electronic data interface to the Service Provider platform management system (for example, a customer management system or simply a computer terminal). The protocol used with this interface will typically be the internet Simple Network Management Protocol (SNMP) running over TCP/IP.

5

Interface I5: This is an electronic data interface to the Mail Store platform management system (for example, a network management system or simply a computer terminal). The protocol used with this interface will typically be the internet Simple Network Management Protocol (SNMP) running over TCP/IP.

10

Interface I6: This is an electronic data interface which transports the control and data signals between the Mail Store platforms. This allows the Mail System to be distributed across a number of sites (e.g. for resilience and performance reasons). This is typically implemented as a frame-relay or X.25 data link, running the TCP/IP protocol. Control and Data messages may be carried using suitable protocols, such as the Internet File Transfer Protocol (FTP).

15

### **Service Provider Platform Components**

20 The Service Provider platform comprises a number of shelves, which are specialised to meet particular needs, and a bus (bus B1) that connects the shelves. A shelf is either a processor shelf (consisting of processor, memory, clocks, I/O devices, etc.) or a storage shelf (consisting of hard disk storage, disk controllers, etc.).

25

Shelf S1: Mail Applications Processor shelf.

This runs the various applications that interface the end users and other applications to the mail system.

30 Shelf S2: Platform Management Processor shelf.

This runs the necessary management applications for the service provider platform, and interfaces to an external management system via I4.

Shelf S3: Mail System Interface Processor shelf.

This provides the physical termination of the interface (I3) to the Mail System platform, and runs the software to interface the protocols to the rest of the Service Provider platform.

5 Shelf S4: Interactive Voice Response Processor shelf.

This runs the software that interacts directly with end users, usually over the telephony interface (I1) via the Telephony Interface processor shelf (although it can be used to interact with users over the internet interface). This shelf is able to play announcements to end users, and record voice messages into electronic format  
10 (e.g. PC '.wav' format), that will ultimately be stored in the Mail System.

Shelf S5: Telephony Processor shelf.

This provides the physical termination of the interface (I1) to the telephony network, and runs the software to interface the protocols and bearer circuits to the  
15 rest of the Service Provider platform.

Shelf S6: Internet Processor shelf.

This provides the physical termination of the interface (I2) to the internet network, and runs the software to interface the control and data protocols to the rest of the  
20 Service Provider platform.

Shelf S7: Runtime Data Storage shelf.

Provides hard disk storage for the various processor shelves to use at run time.

25 Shelf S8: Audit Data Storage shelf.

Provides resilient hard disk storage for system data that needs to be held for logging and auditing purposes. This is usually stored by the processor shelves, and retrieved by the external management system via the Platform Management processor shelf (S2).

30

**Mail Store Platform Components**

The Mail Store platform comprises a number of shelves, which are specialised to meet particular needs, and a bus (bus B2) that connects the shelves. A shelf is

either a processor shelf (consisting of processor, memory, clocks, I/O devices, etc) or a storage shelf (consisting of hard (disk) storage, disk controllers, etc).

Shelf M1: Mailbox Controllers Processor shelf.

- 5 This runs the software used to store and retrieve messages and other information in user mailboxes.

Shelf M2: Platform Management Processor shelf.

- This runs the necessary management applications for the service provider platform,  
10 and interfaces to an external management system via I5.

Shelf M3: Service Provider Interface Processor shelf.

- This provides the physical termination of the interface (I3) from one or more Service Provider platforms, and runs the software to interface the protocols to the  
15 rest of the Mail System platform.

Shelf M4 Access and Overload Controller Processor shelf.

- This runs the access controller and overload controller process software for the Mail System.  
20

Shelf M5: Mail System Interface Processor shelf.

- This provides the physical termination of the interface (I6) from one or more other Mail System platforms, and runs the software to interface the protocols to the rest of the Mail System platform.  
25

Shelf M7: Mailbox Data Storage shelf.

- Provides resilient hard disk storage for the user mailboxes. This shelf is usually only accessed by the Mailbox Controllers shelf (M1) and the Platform Management processor shelf (M2).  
30

Shelf M7: Runtime Data Storage shelf.

Provides hard disk storage for the various processor shelves to use at run time.

Shelf M8: Audit Data Storage shelf.

Provides resilient hard disk storage for system data that needs to be held for logging and auditing purposes. This is usually stored by the processor shelves, and retrieved by the external management system via the Platform Management processor shelf (M2).



CLAIMS

1. A messaging platform including:

5 a) a message store arranged to receive message data and to store said message data for subsequent retrieval, characterised by

b) an overload controller responsive to an overload condition in the platform and arranged, in response to the said overload condition, to limit loading of the platform.

10

2. A messaging platform according to claim 1, further comprising:

c) a control interface arranged to receive control requests instructing transactions on the messaging platform,

15 and in which the overload controller includes means for denying at least some of the control requests in response to the overload condition.

3. A platform according to claim 2, in which the overload controller is programmed with criteria for applying different classes of service to control requests received at the control interface and the overload controller is arranged, in  
20 response to an overload condition on the platform, selectively to deny control requests depending on a class of service assigned in accordance with the said criteria to the control request.

4. A platform according to claim 3, in which the criteria apply a class of service  
25 selected depending on the identity of a service provider originating the said control requests

5. A platform according to claim 3 or 4 in which the criteria apply a class of service selected depending on the identity of a subscriber mailbox to which the  
30 control request applies

6. A platform according to any one of claims 3 to 5, in which the criteria apply different service classes depending on the transaction requested by the control request.

7. A messaging system comprising:

a service platform running a messaging service application; and

5 a messaging platform according to any one of the proceeding claims connected to the service platform via a control interface, and arranged to receive control requests from the service platform via the control interface.

8. A messaging system according to claim 7, in which the service platform is remote from the messaging platform.

10

9. A communications network including a messaging platform according to any one of claims 1 to 6, or a messaging system according to claim 7 or 8.

10. A method of operating a messaging platform including:

15

a) storing message data on the messaging platform;

b) subsequently outputting message data from the platform, thereby allowing retrieval of a corresponding message;

characterised by

20 c) detecting an overload condition on the platform and in response to the overload condition limiting loading of the platform.

11. A method according to claim 10, further comprising

d) receiving at the message platform control requests instructing a transaction on the messaging platform,

25

and in which the step of limiting loading of the platform includes denying at least some of the control requests.

12. A method according to claim 11, including applying different classes of service to the control requests, and in response to the overload condition  
30 selectively denying some only of the control requests depending on the class of service applied to the control requests.

13. A method according to claim 12, including applying different classes of service to control requests depending on the identity of an originating service provider.

5 14. A method according to claim 12 or 13, including applying different classes of service to control requests depending on identities of customer mailboxes to which the control requests apply.

10 15. A method according to claim 12, 13 or 14, including applying different classes of service to control requests depending on the transaction requested by the control request

15 16. A method according to claim 15, in which the messaging platform includes a plurality of mailboxes containing message data, each mailbox being switchable between an open state, in which message data may be written to or read from the mailbox, and a closed state, and in which the step of limiting loading includes allowing requests for the closing of a mailbox and denying requests for the opening of a mailbox.

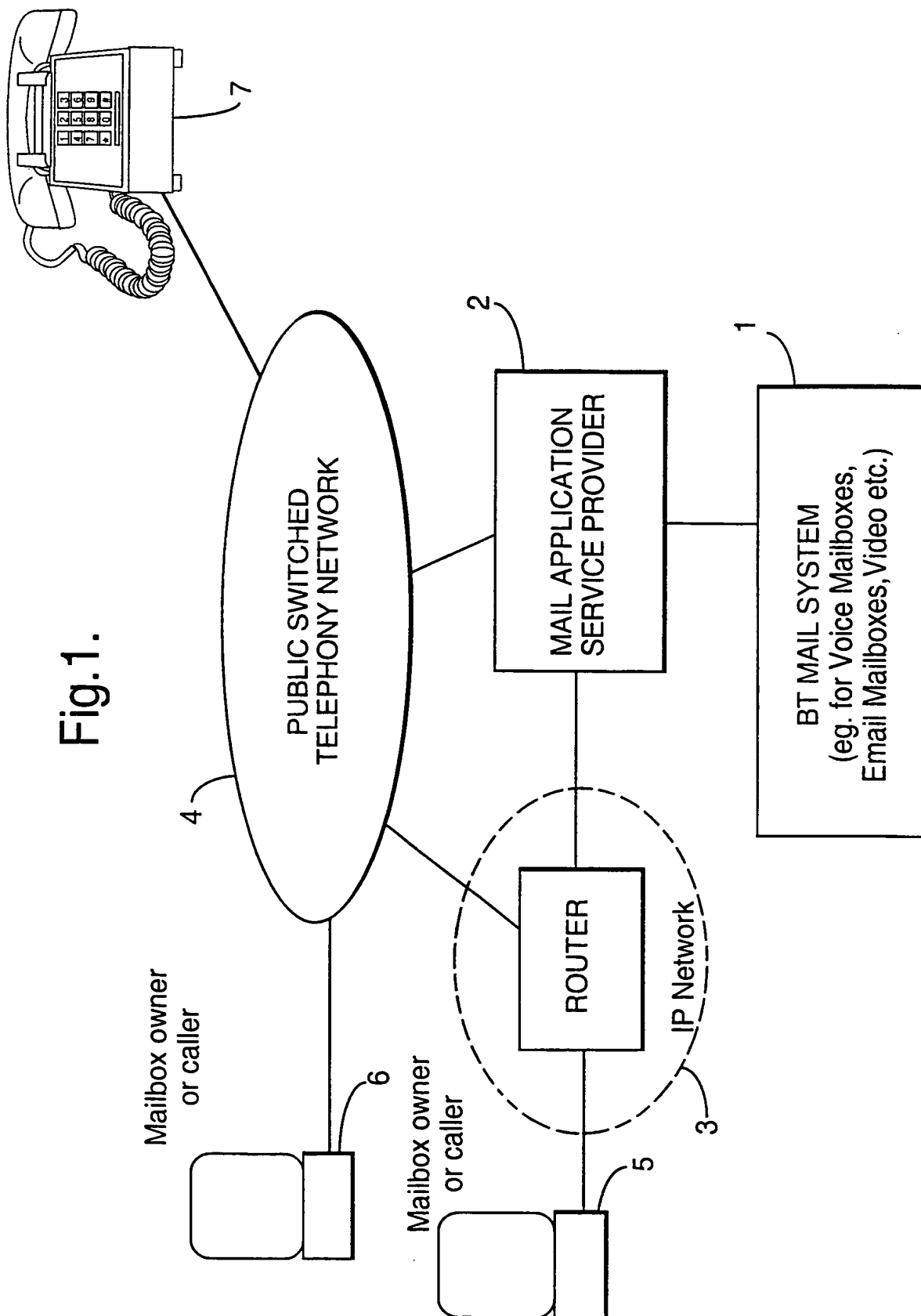
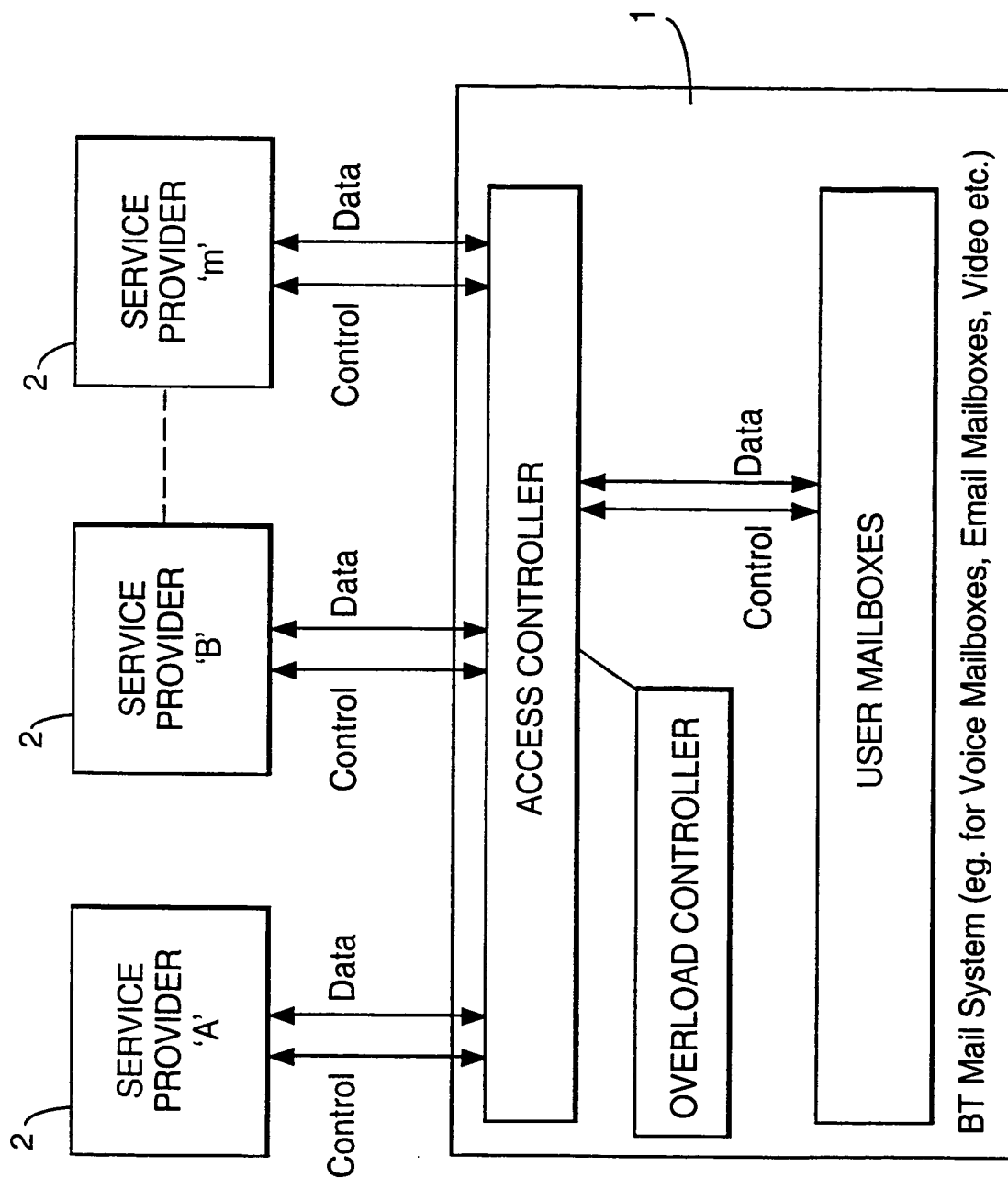


Fig.2.



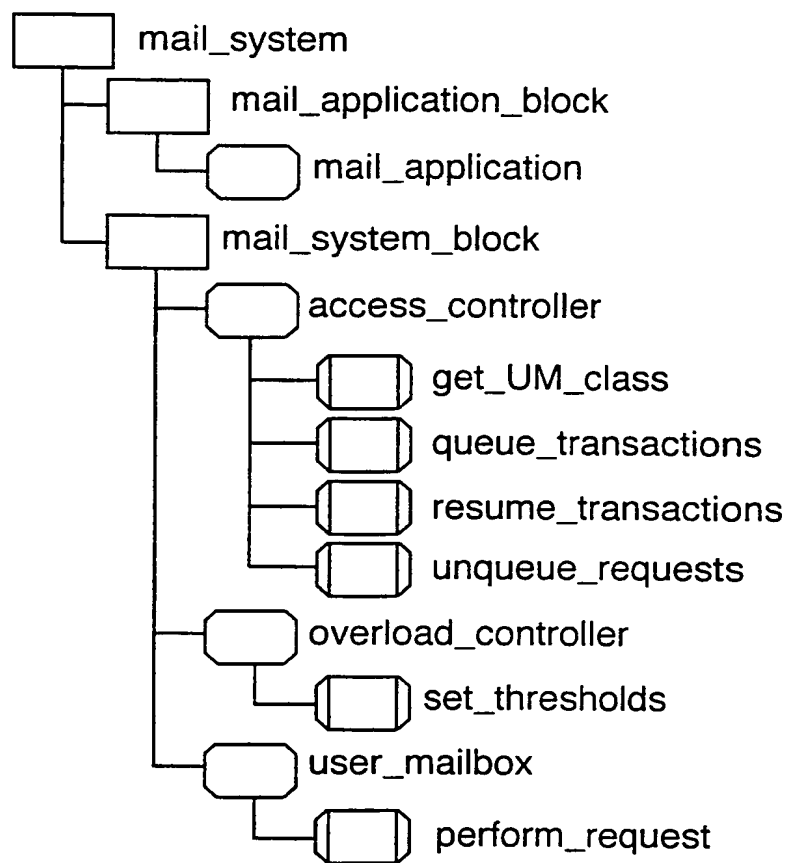
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Fig.3a.

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Diagram Structure

---



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Associated Documents

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Fig.3b.

System mail\_system

1(5)

```

*/    Mail Overload System SDLS
      Version 1.1
      Mel Bale / BT
      17 November 1998
      SYSTEM DIAGRAM
*/

```

```

/* maximum number of mail applications */
SYNONYM MAX_APP integer = 100;

/* maximum number of user mailboxes */
SYNONYM MAX_MBOX integer = 1000;

/* null value for user mailboxes */
SYNONYM MBOX_NULL integer = -1;

/* maximum number of service classes */
SYNONYM MAX_SERVICE_CLASS integer = 4;

/* maximum length of an operation queue */
SYNONYM MAX_OPERATION_QUEUE integer = 10;

/*----- TIMER DURATIONS-----*/

SYNONYM tr_dur duration = 1; /* 1 second */
SYNONYM tr_duration integer = 1; /* as tr_dur */

```

```

SYNTYPE MBOX_ADDRESS = integer
  constants 0:MAX_MBOX
ENDSYNTYPE MBOX_ADDRESS;

SYNTYPE MBOX_POINTER = integer
  constants MBOX_NULL:MAX_MBOX
ENDSYNTYPE MBOX_POINTER;

SYNTYPE SERVICE_CLASS = integer
  constants 0:MAX_SERVICE_CLASS
ENDSYNTYPE SERVICE_CLASS;

SYNTYPE OPERATION_QUEUE_POINTER = integer
  constants 0:MAX_OPERATION_QUEUE
ENDSYNTYPE OPERATION_QUEUE_POINTER;

```

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Fig.3C.

System mail\_system

2(5)

```
NEWTYPE CAUSE
  literals T_overload, T_unknown;
ENDNEWTYPE CAUSE;

NEWTYPE OPERATION_STRUCT
  struct
    owner pid;
    mbox_id MBOX_ADDRESS;
    class SERVICE_CLASS;
    info charstring;
  ENDEWTYPE OPERATION_STRUCT;

NEWTYPE OPERATION_QUEUE
  array(OPERATION_QUEUE_POINTER, OPERATION_STRUCT)
  adding
  operators
  /*return true if there are no more operations */
  is_last : OPERATION_QUEUE-> boolean;

  /* add an operation to the queue
  - return true if queue is full */
  add_op : OPERATION_QUEUE, OPERATION_STRUCT->boolean;

  /* delete the current operation from the queue
  - return true if queue is empty */
  delete_op : OPERATION_QUEUE ->boolean;

  /* get the first operation structure in the list */
  get_first_op : OPERATION_QUEUE -> OPERATION_STRUCT;

  /* get the next operation structure in the list */
  get_next_op : OPERATION_QUEUE -> OPERATION_STRUCT;
ENDNEWTYPE OPERATION_QUEUE;
```



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Fig.3d.

System mail\_system

3(5)

```

NEWTYPE MBOX_STRUCT
struct
  class SERVICE_CLASS;
  mbox_id MBOX_ADDRESS;
  process_id pid;
  opener_id pid;
  opener_set_class SERVICE_CLASS;
  next_in_list MBOX_POINTER;
  queue boolean;
  op_queue OPERATION_QUEUE;
ENDNEWTYPE MBOX_STRUCT;

NEWTYPE MBOX_LIST
  array(MBOX_ADDRESS, MBOX_STRUCT)
adding
  operators
  /* return true if there are no more mailboxes */
  is_last : MBOX_LIST-> boolean;

  /* add an open mailbox structure to the list
  - return true if list is full */
  add_mbox : MBOX_LIST, MBOX_STRUCT-> boolean;

  /* update an open mailbox struct to the list
  - return true if list is full */
  update_mbox : MBOX_LIST , MBOX_STRUCT -> boolean;

  /* delete an open mailbox structure from the list */
  delete_mbox : MBOX_LIST, MBOX_STRUCT -> boolean;

  /* get the first open mailbox structure in the list */
  get_first_mbox : MBOX_LIST -> MBOX_STRUCT;

  /* get the next open mailbox structure in the list */
  get_next_mbox : MBOX_LIST -> MBOX_STRUCT;

  /* get a specific open mailbox structure from the list */
  get_mbox : MBOX_LIST, MBOX_ADDRESS -> MBOX_STRUCT;
ENDNEWTYPE MBOX_LIST;

NEWTYPE RATE_STRUCT
struct
  min_rate integer;
  max_rate integer;
ENDNEWTYPE RATE_STRUCT;

NEWTYPE RATE_ARRAY
  array(SERVICE_CLASS,RATE_STRUCT)
ENDNEWTYPE RATE_ARRAY;

```

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Fig.3e.

System mail\_system

4(5)

```

SIGNAL /*----- SIGNALS for routes 1 -----*/
user_mailbox_open(MBOX_ADDRESS),
user_mailbox_close(MBOX_ADDRESS),
user_mailbox_opened(MBOX_ADDRESS),
user_mailbox_closed(MBOX_ADDRESS),
user_mailbox_request(MBOX_ADDRESS,charstring),
user_mailbox_notification(MBOX_ADDRESS,CAUSE),
mail_application_overload_detected,
mail_application_overload_ceased;

```

```

SIGNAL /*----- SIGNALS for route 2 -----*/
UM_open(pid,MBOX_ADDRESS),
UM_closed(pid,MBOX_ADDRESS),
UM_opened(MBOX_ADDRESS),
UM_open_denied(MBOX_ADDRESS,CAUSE),
UM_closed(MBOX_ADDRESS),
UM_request(OPERATION_STRUCT),
UM_notification(OPERATION_STRUCT),
impose_UM_load_control(pid,SERVICE_CLASS),
queue_UM_requests(MBOX_ADDRESS),
resume_UM_requests(MBOX_ADDRESS);

```

```

SIGNAL /*----- SIGNALS for route 3 -----*/
queue_notifications,
resume_notifications,
stop_mailbox,
request(OPERATION_STRUCT),
notification(OPERATION_STRUCT);

```

```

SIGNAL /*----- SIGNALS for route 4 -----*/
transaction_rate(integer),
set_UM_class_bar(SERVICE_CLASS);

```

```

SIGNAL /*----- SIGNALS for route 5 -----*/
UM_service_class_query(MBOX_ADDRESS),
UM_service_class_result(MBOX_ADDRESS,SERVICE_CLASS);

```

```

SIGNAL /*----- SIGNALS internal to User_Mailbox -----*/
event(OPERATION_STRUCT);

```

```

SIGNALLIST Slist_1_out =
user_mailbox_opened,
user_mailbox_open_denied,
user_mailbox_closed,
user_mailbox_notification;

```

```

SIGNALLIST Slist_1_in =
user_mailbox_open,
user_mailbox_close,
user_mailbox_request,
mail_application_overload_detected,
mail_application_overload_ceased;

```

```

SIGNALLIST Slist_2_out =
UM_opened,
UM_open_denied,
UM_closed,
UM_notification,
queue_UM_requests,
resume_UM_requests;

```

```

SIGNALLIST Slist_2_in =
UM_open,
UM_close,
UM_request,
impose_UM_load_control;

```

```

SIGNALLIST Slist_3_out =
notification;

```

```

SIGNALLIST Slist_3_in =
queue_notifications,
resume_notifications,
request,
stop_mailbox;

```

```

SIGNALLIST Slist_4_out =
set_UM_class_bar;

```

```

SIGNALLIST Slist_4_in =
transaction_rate;

```

```

SIGNALLIST Slist_5_out =
UM_service_class_query;

```

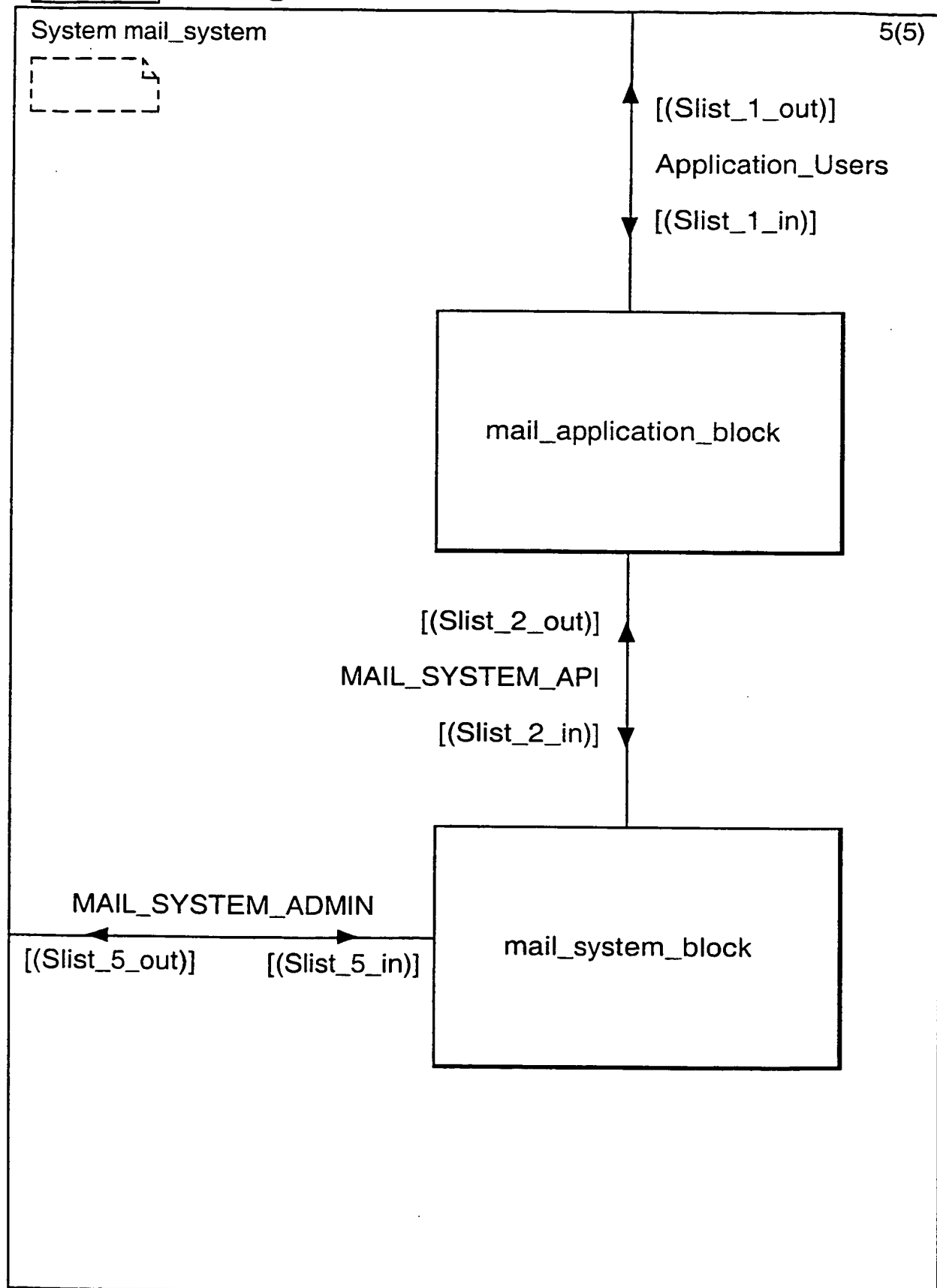
```

SIGNALLIST Slist_5_in =
UM_service_class_result;

```

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Fig.3f.



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Fig.3g.

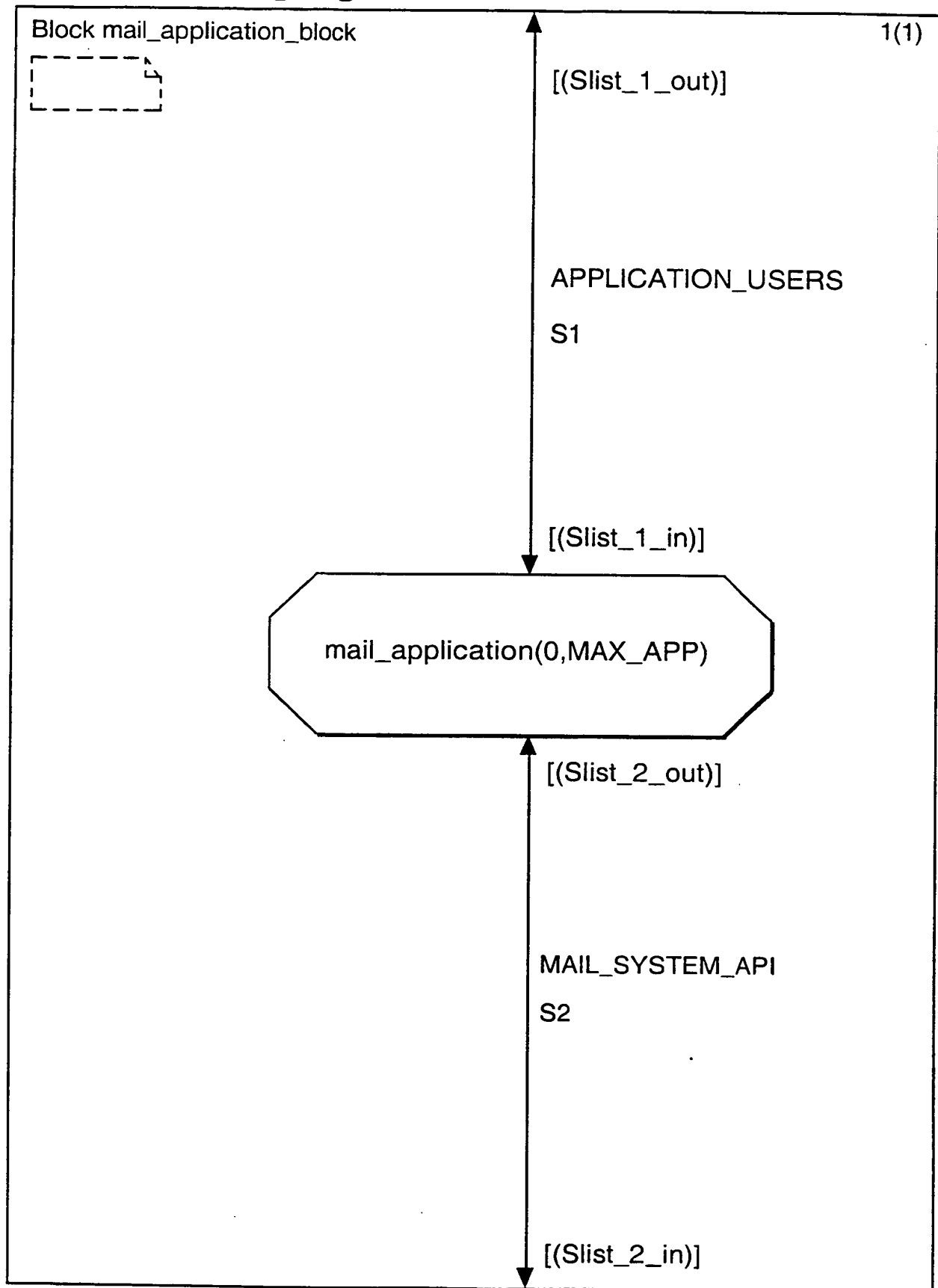
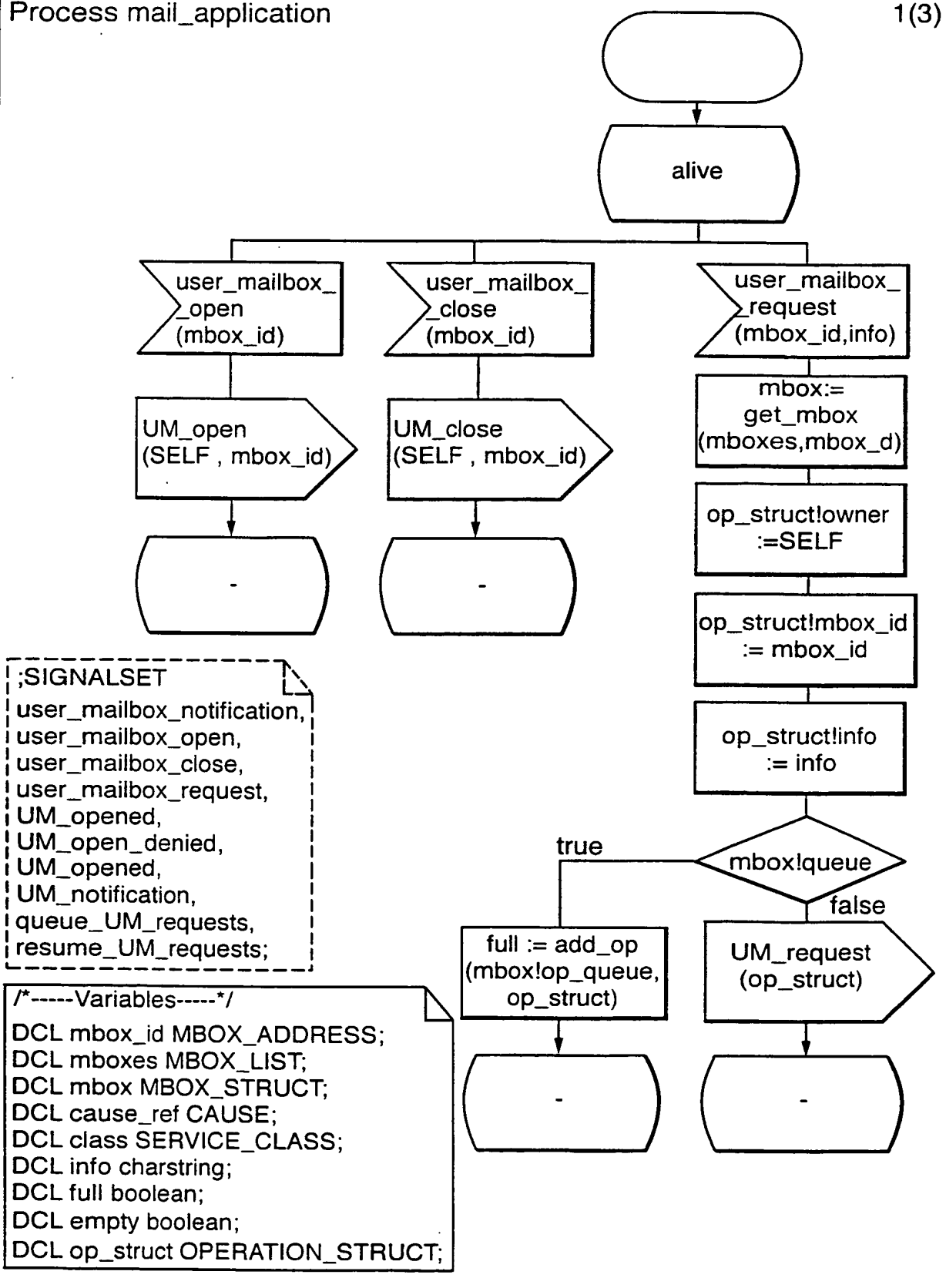


Fig.3h.

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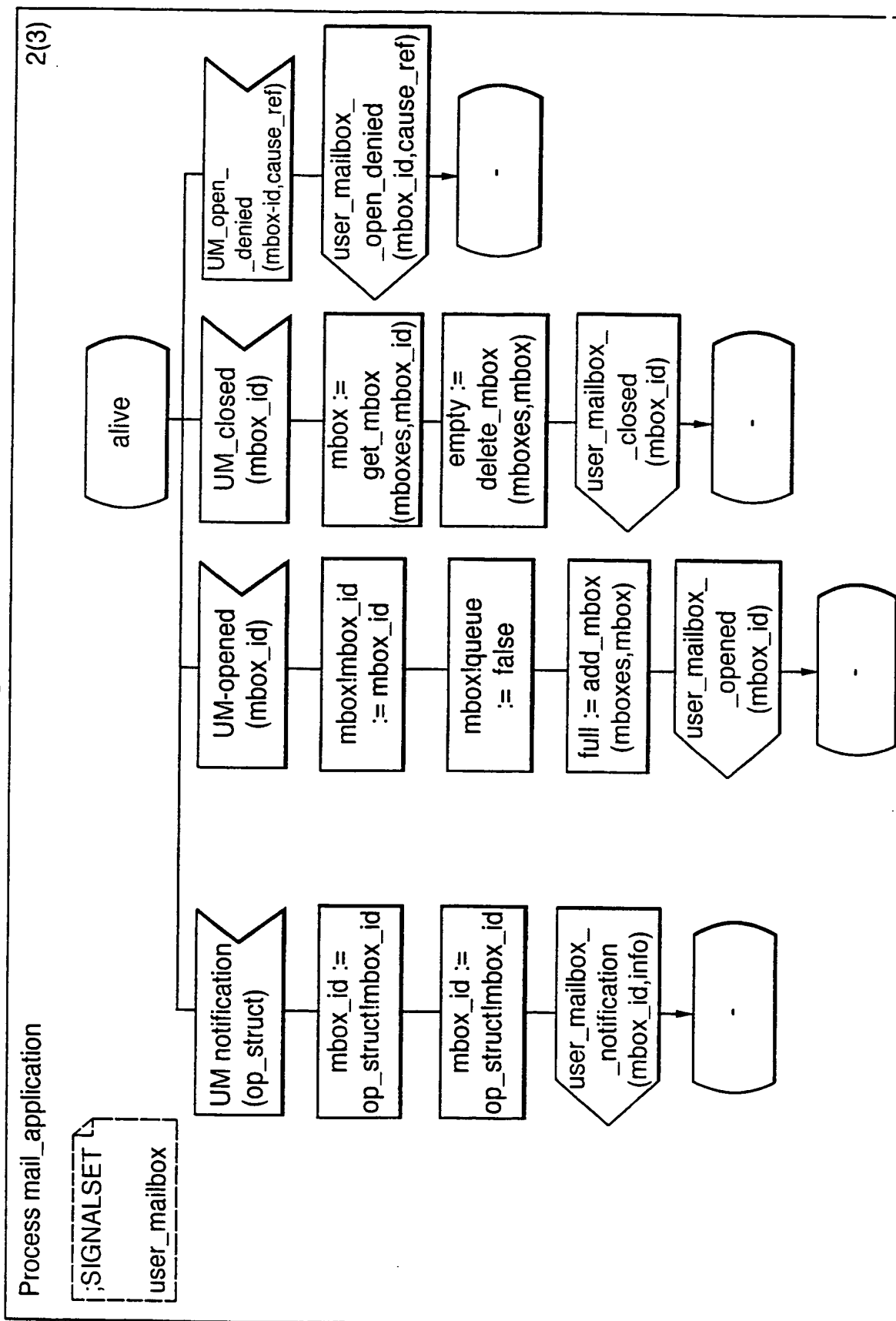
Process mail\_application

1(3)



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Fig.3i.



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Fig.3j.

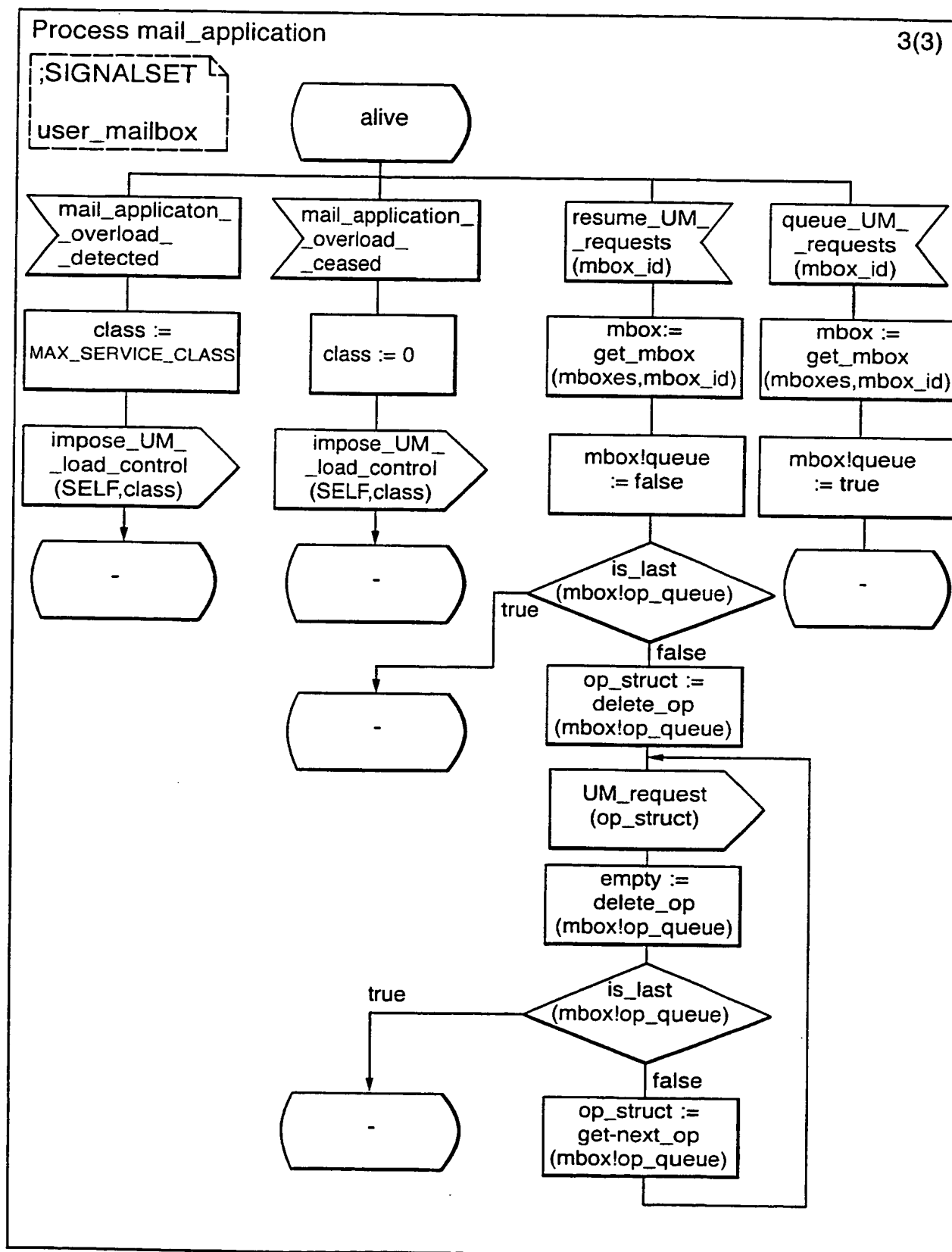
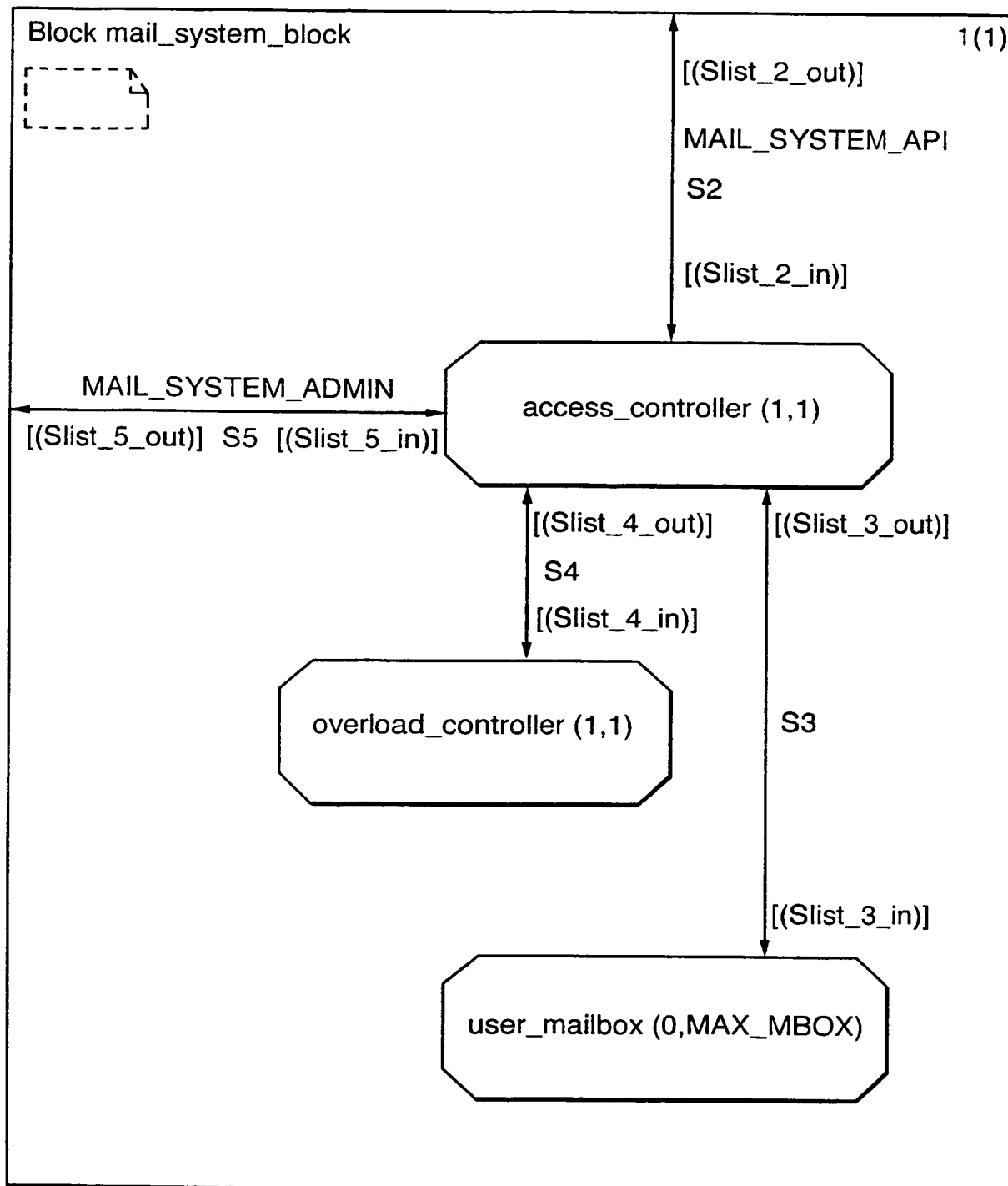


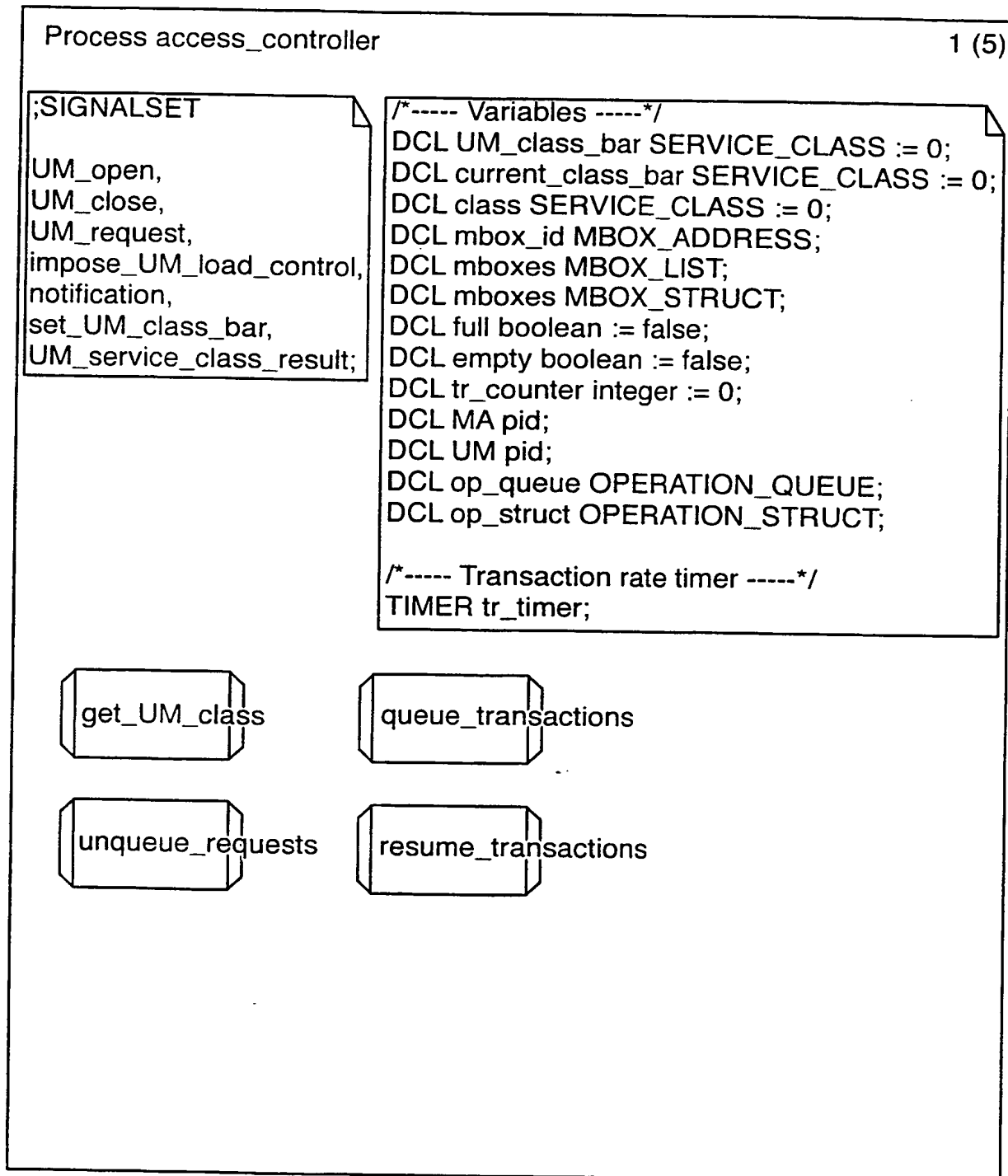
Fig.3k.





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Fig.3l.



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Fig.3m.

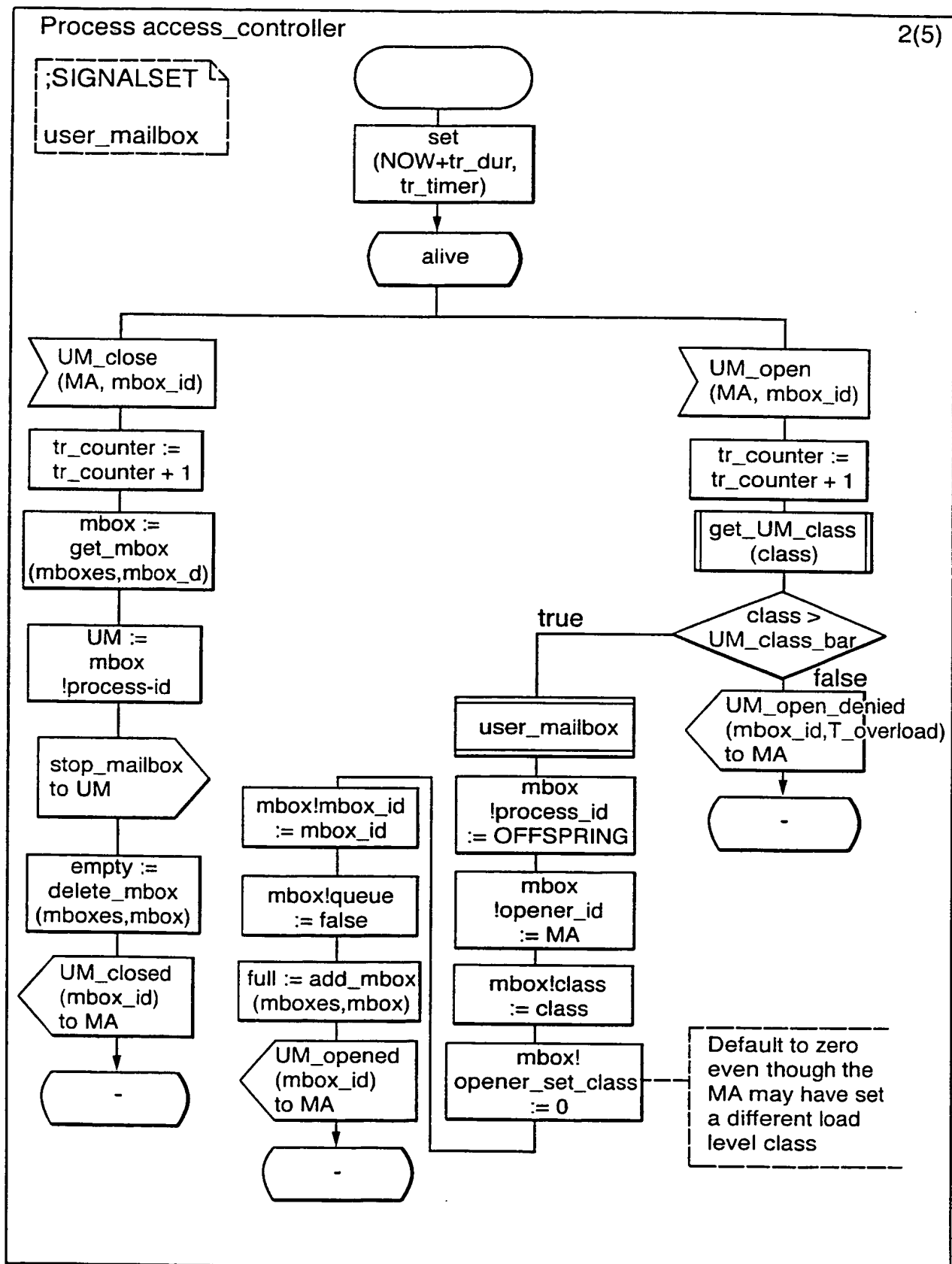
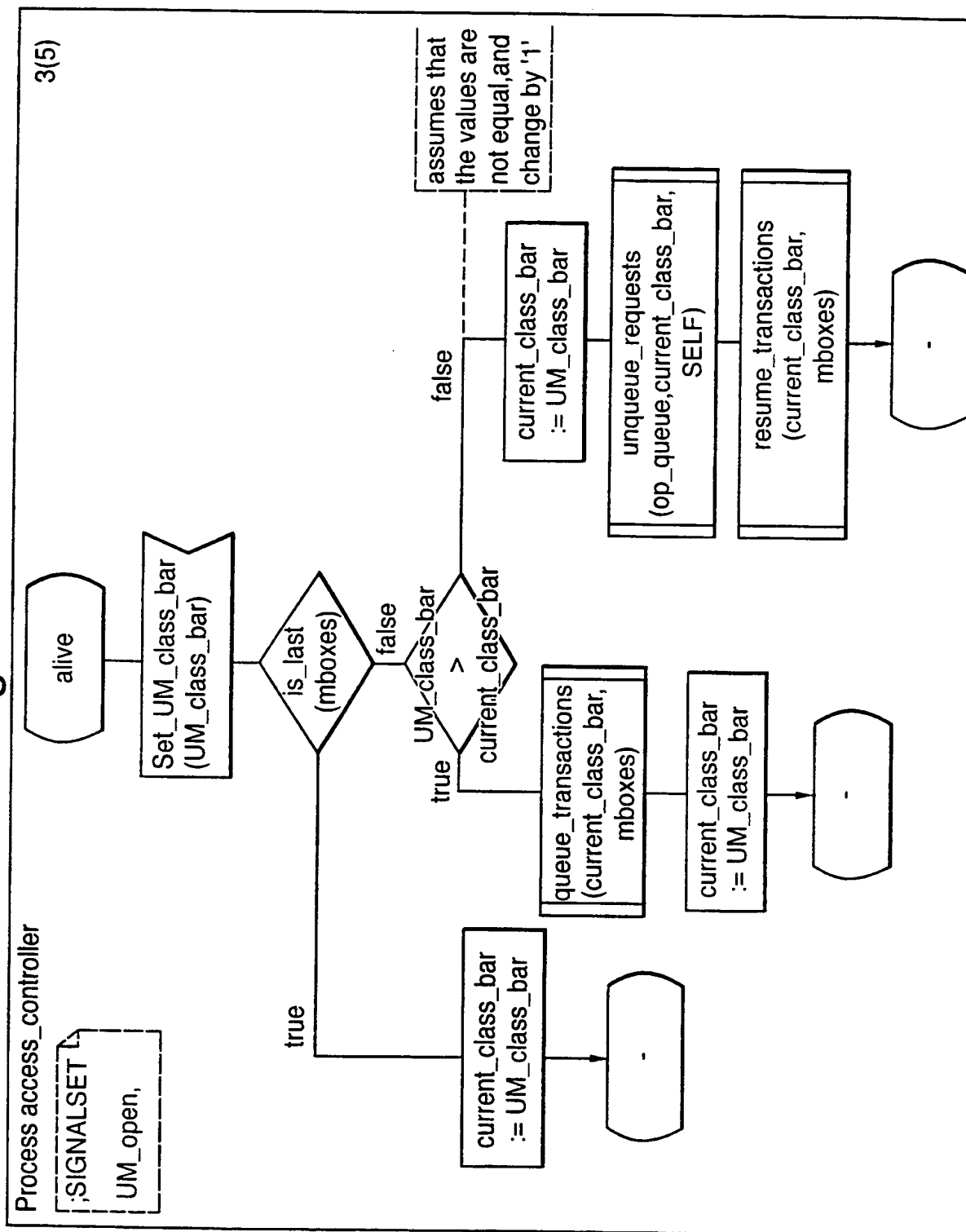


Fig.3n.



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Fig.30.

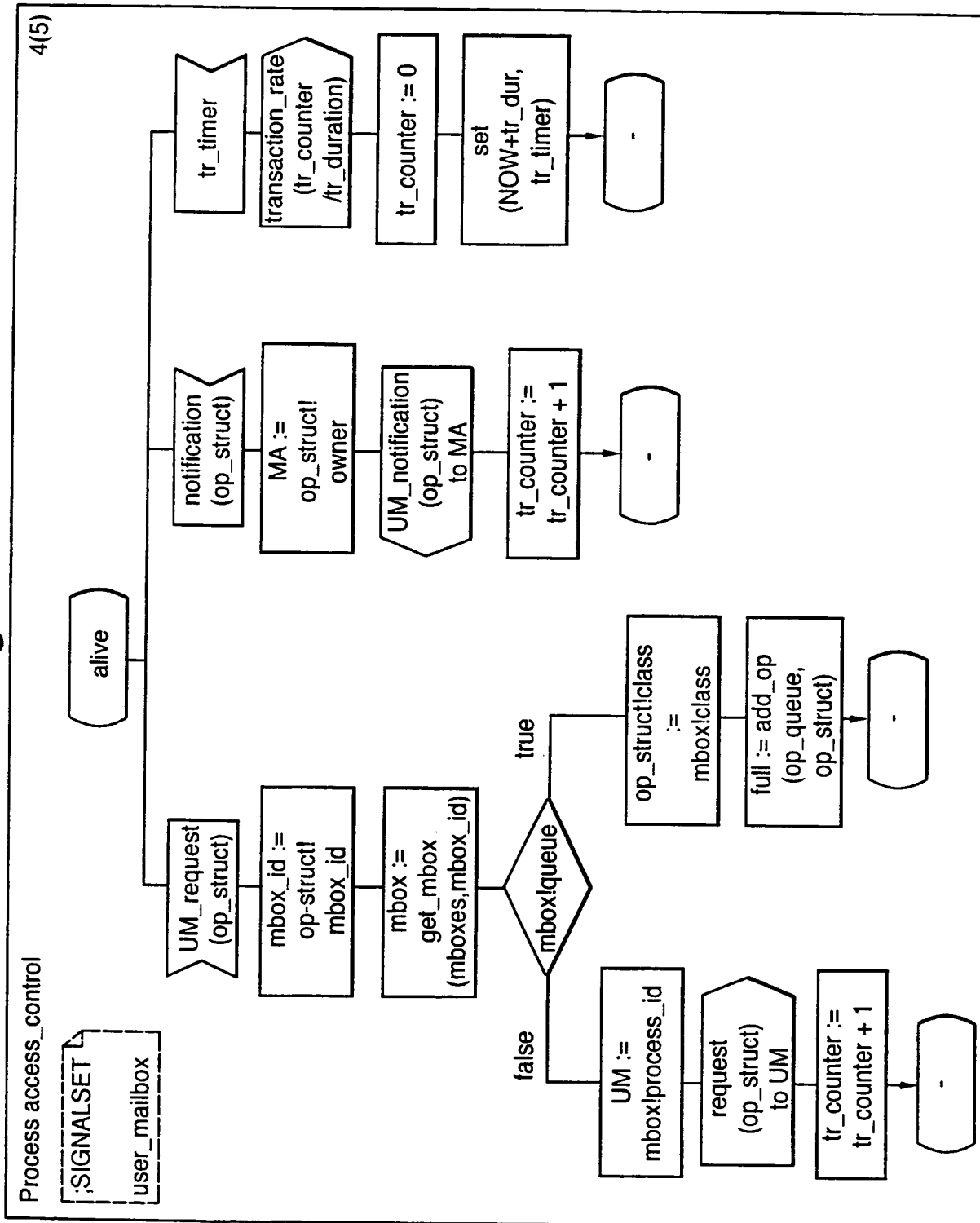


Fig.3p.

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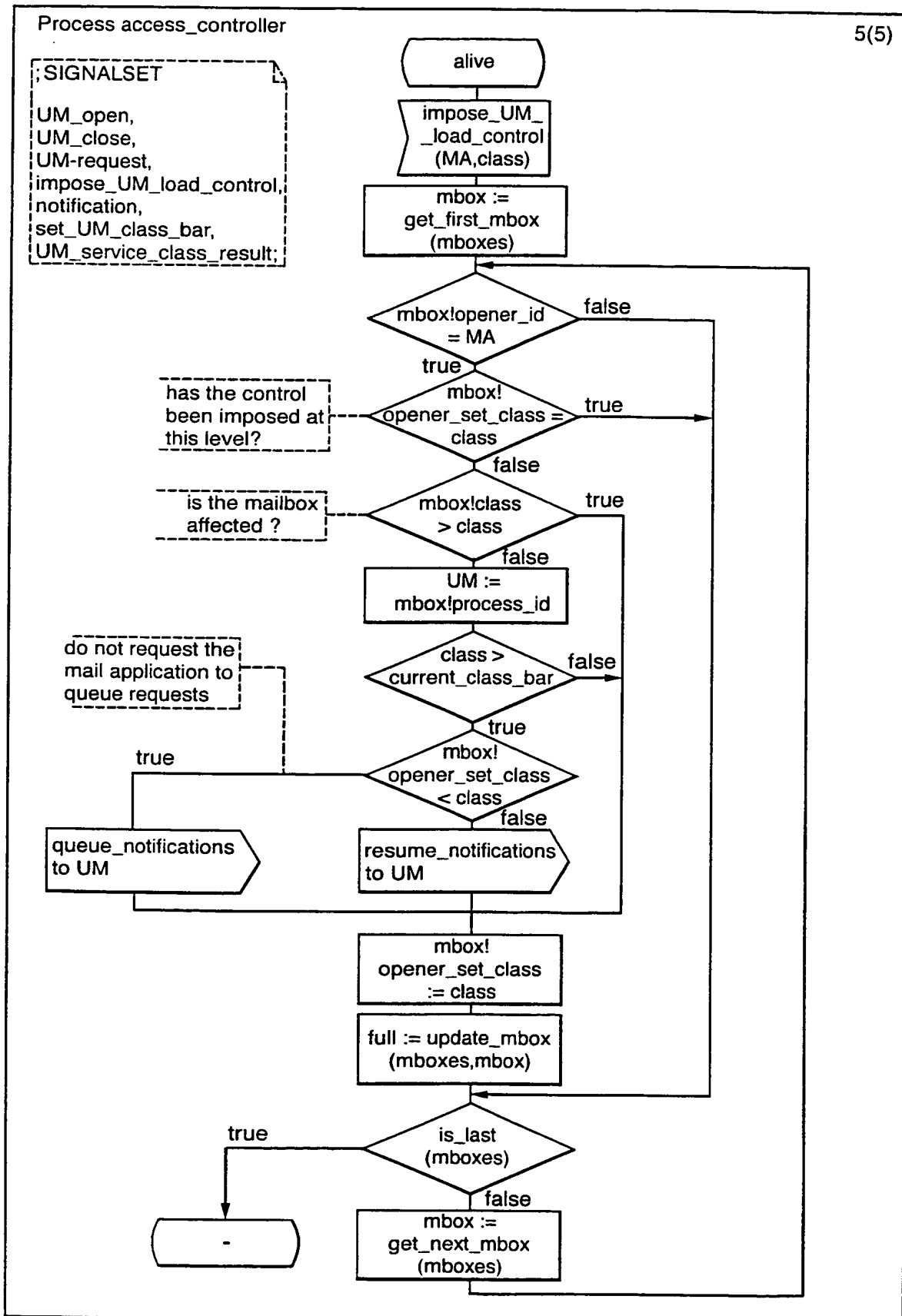


Fig.3q.

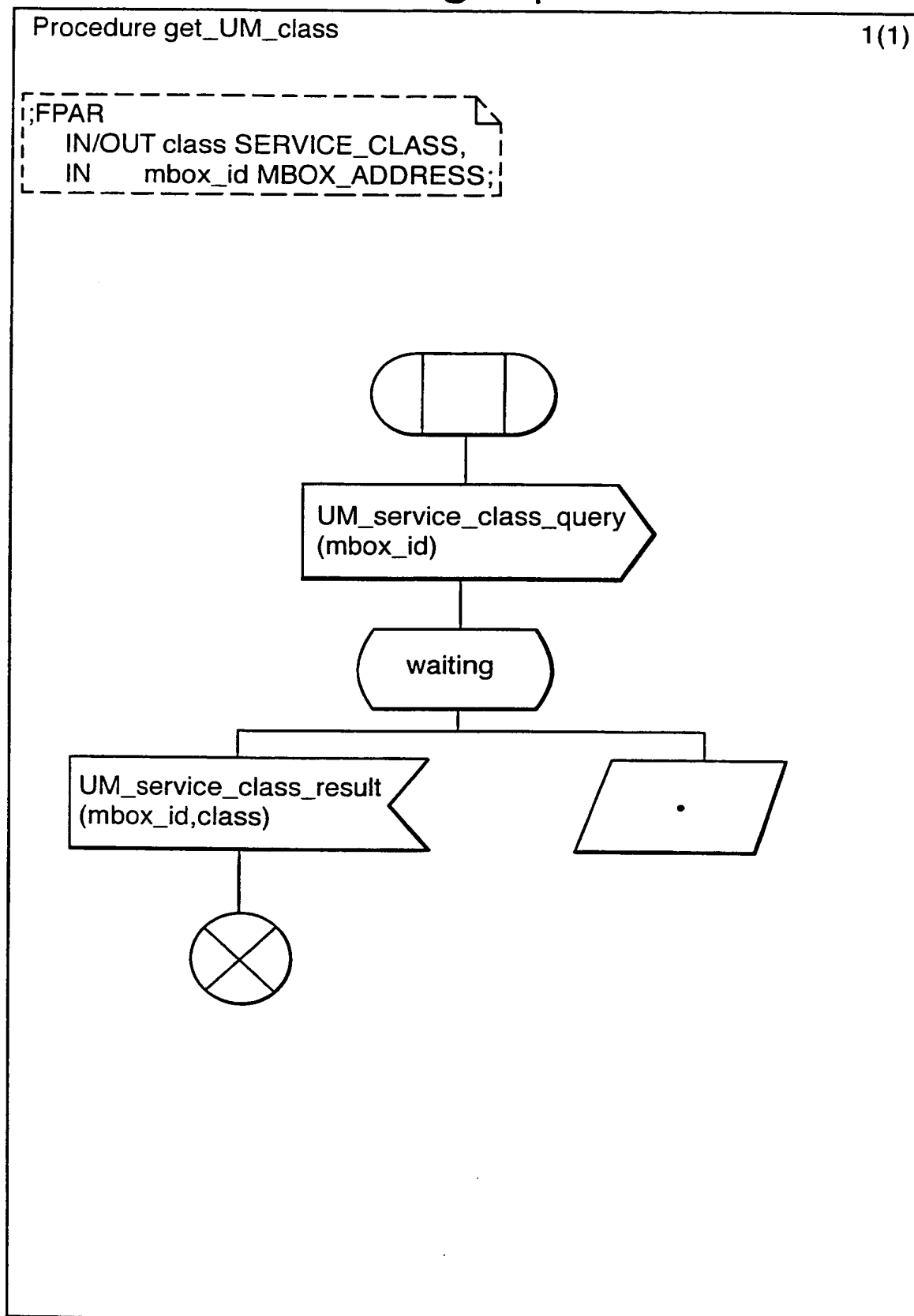


Fig.3r.

20/28

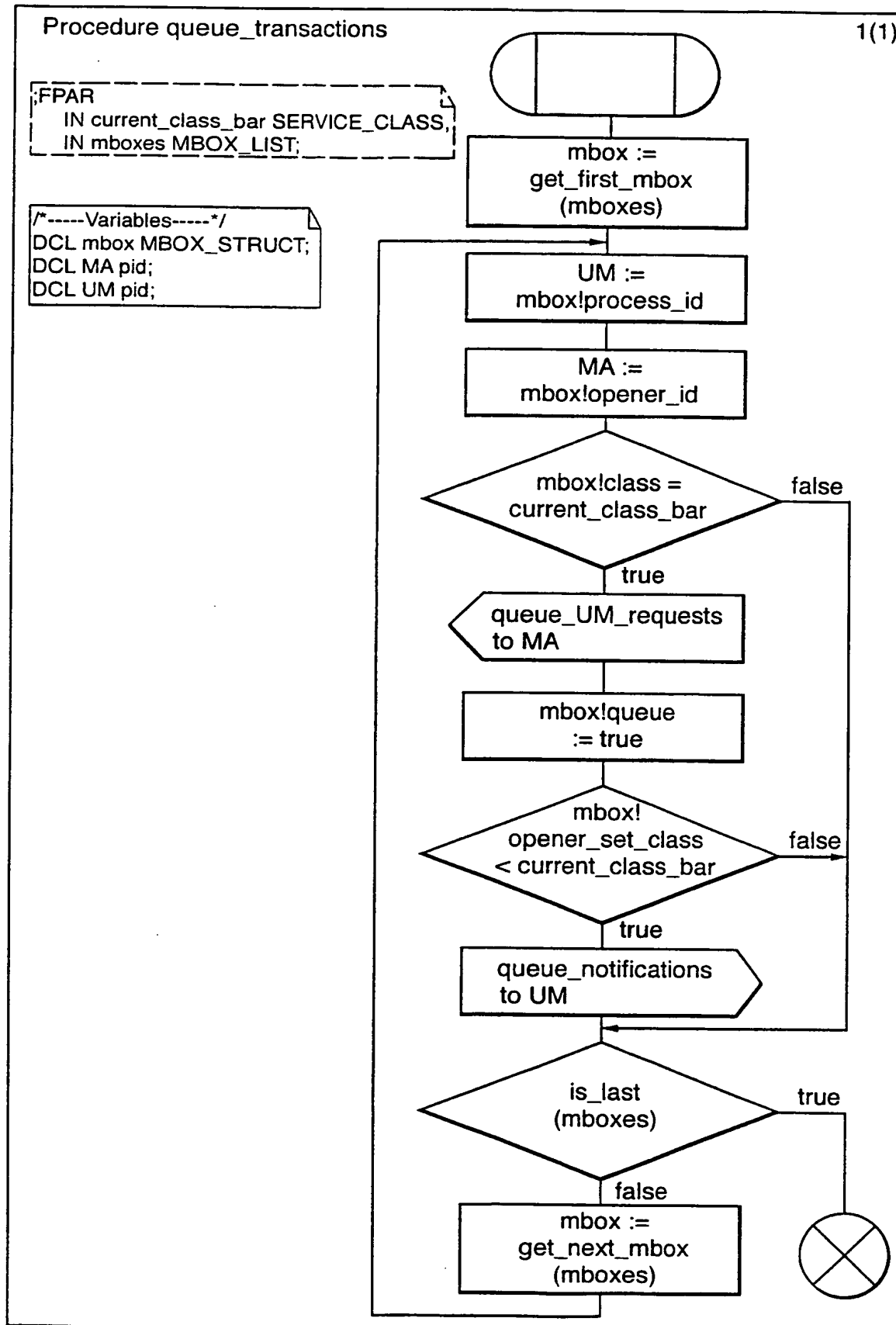
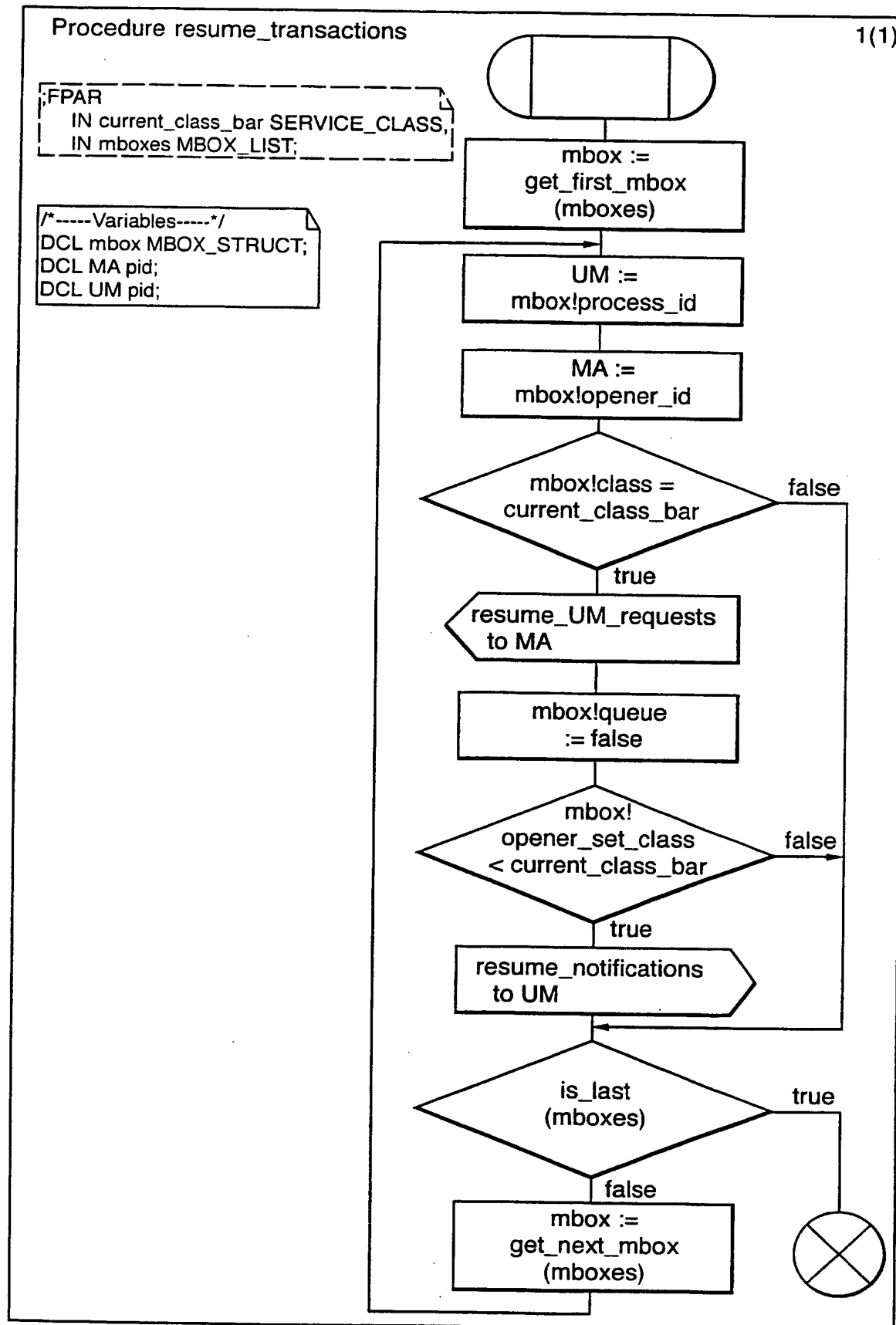


Fig.3s.

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Fig.3t.

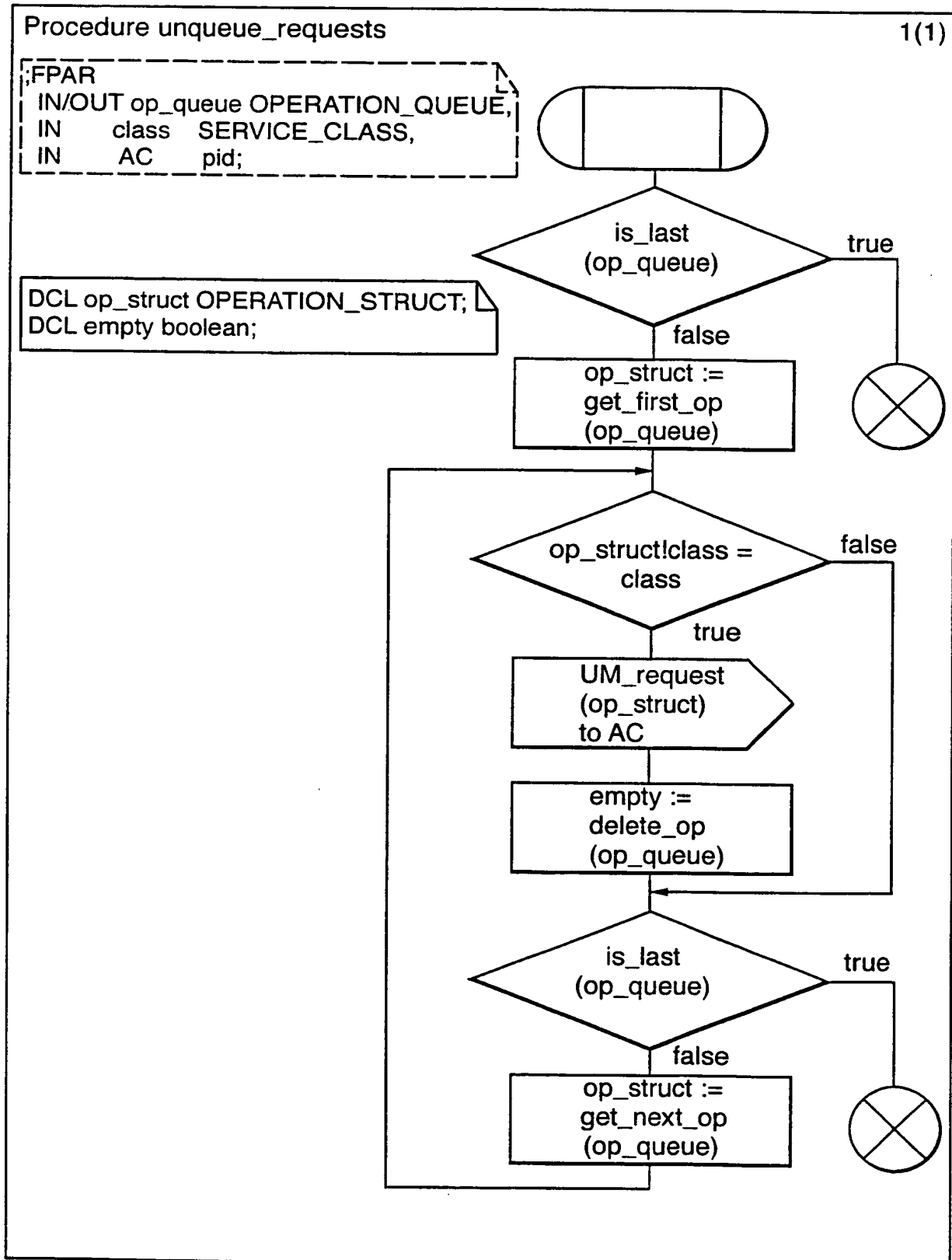
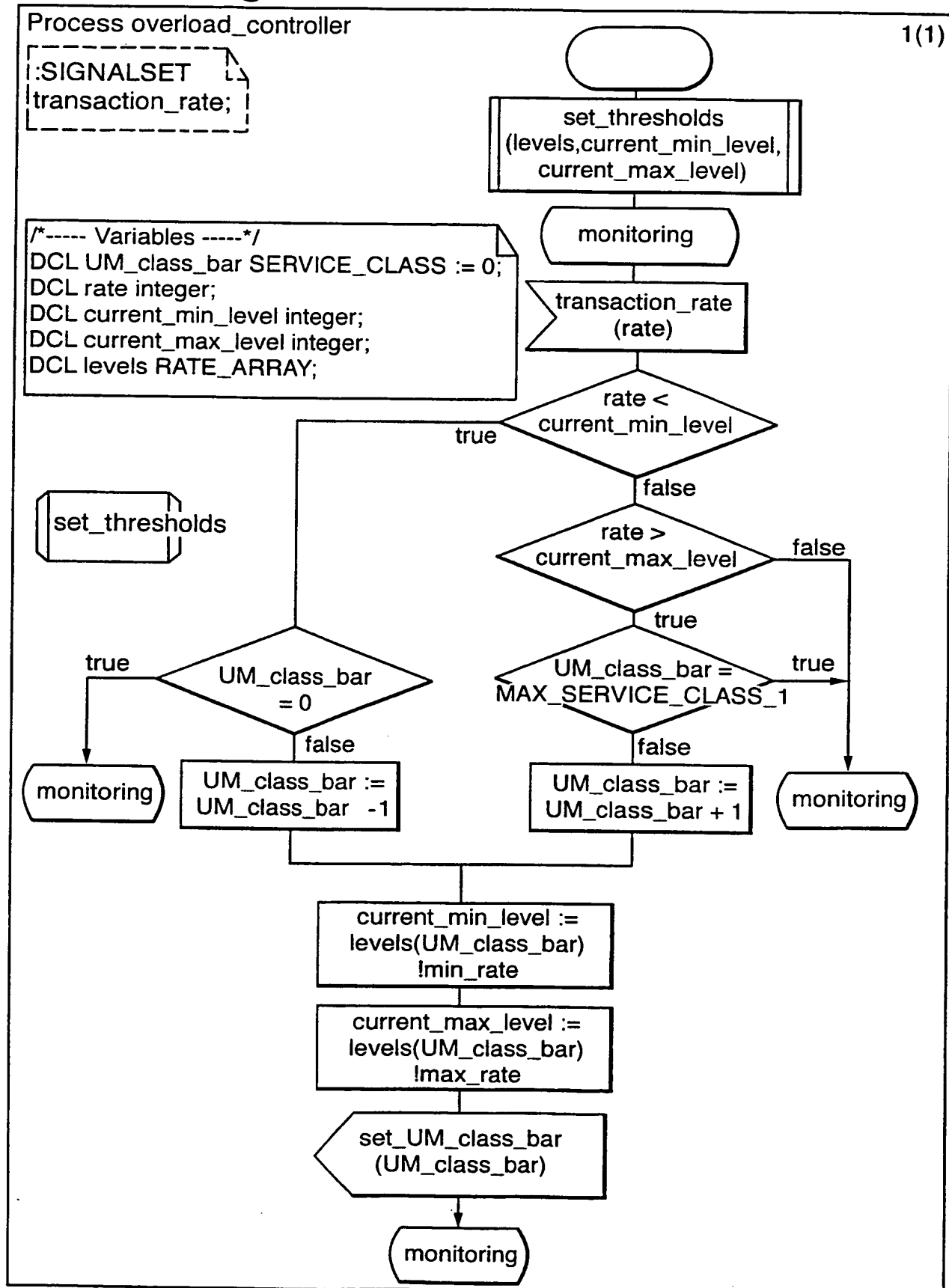


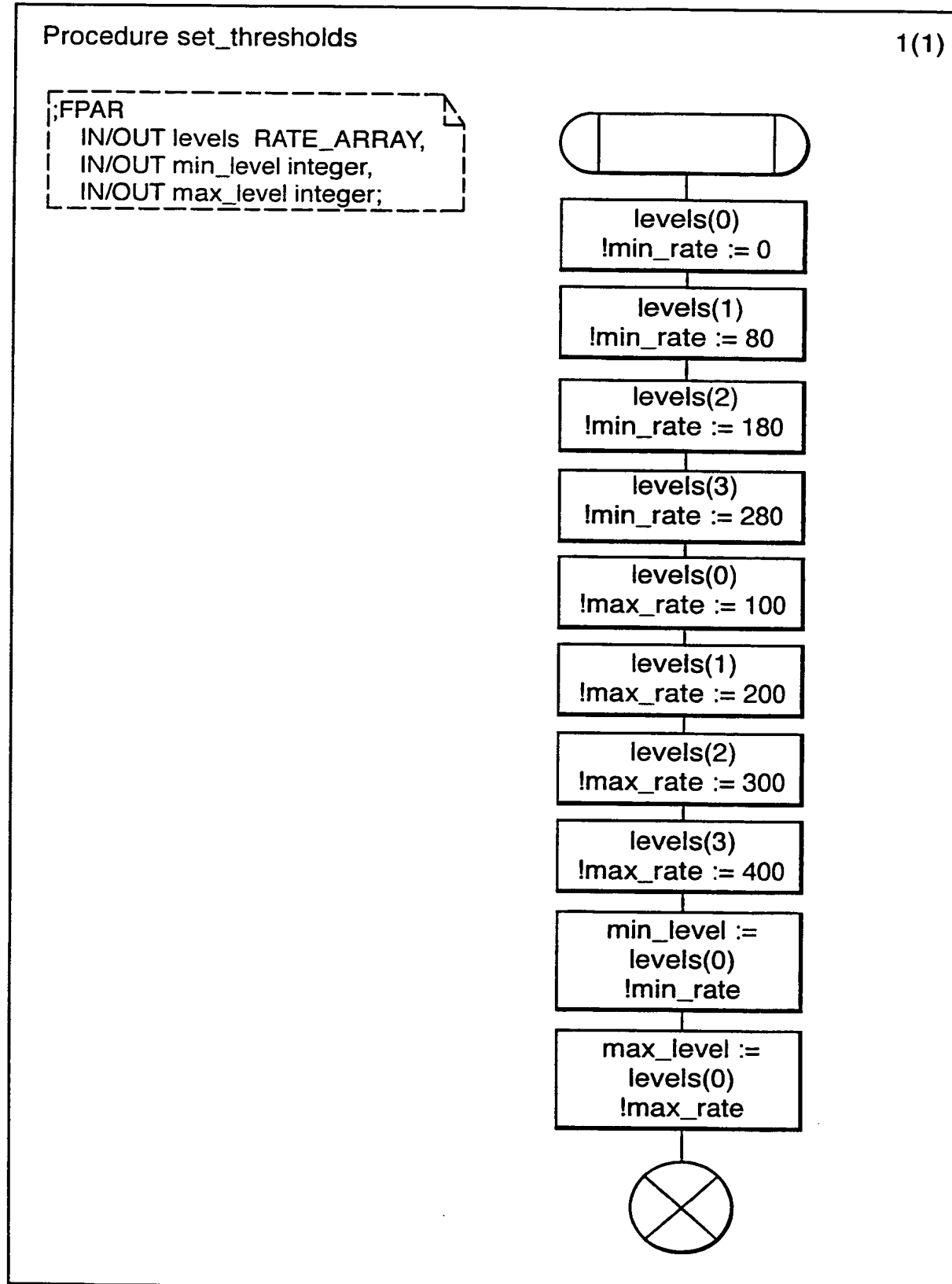
Fig.3u.

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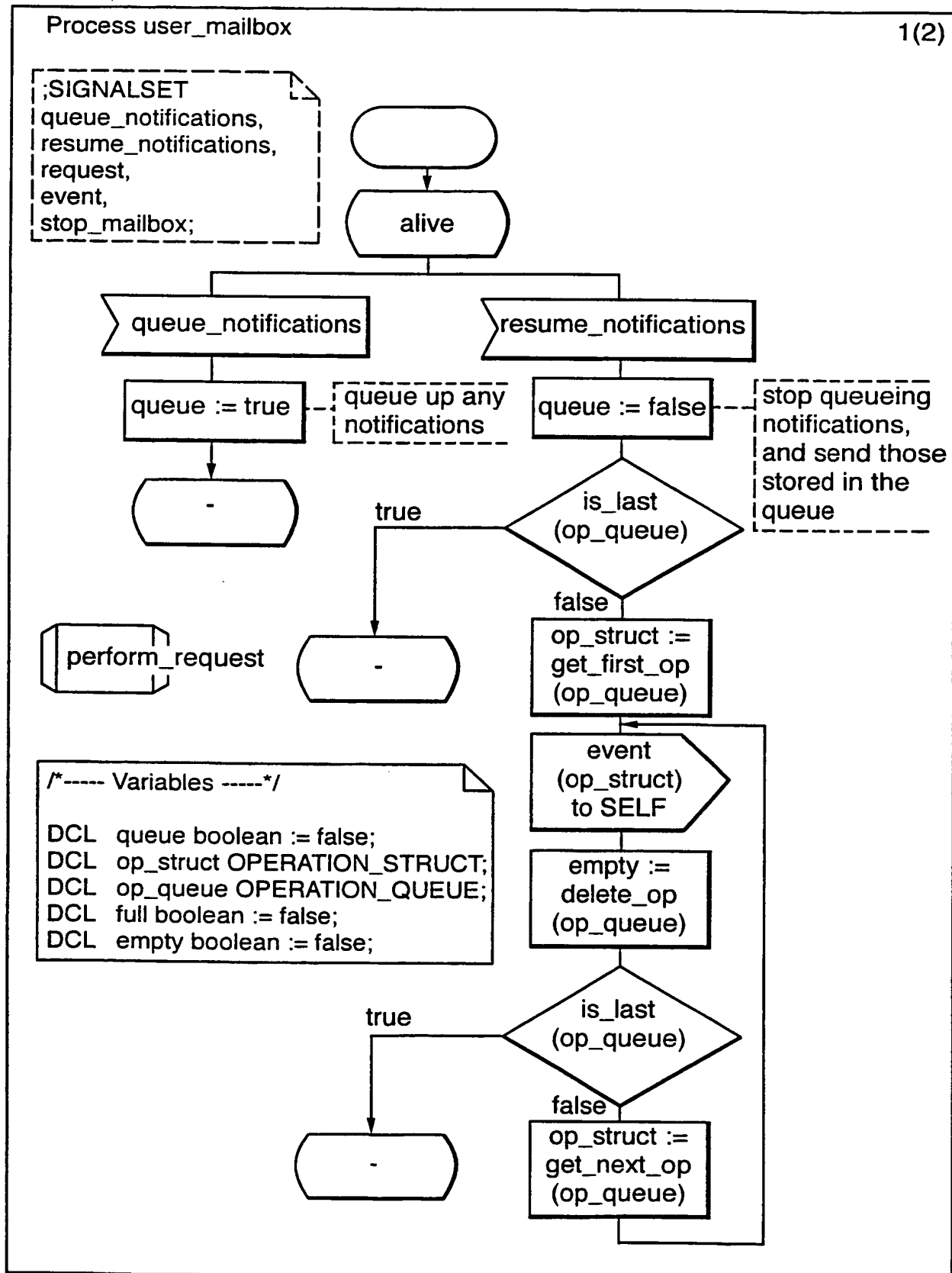
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Fig.3v.



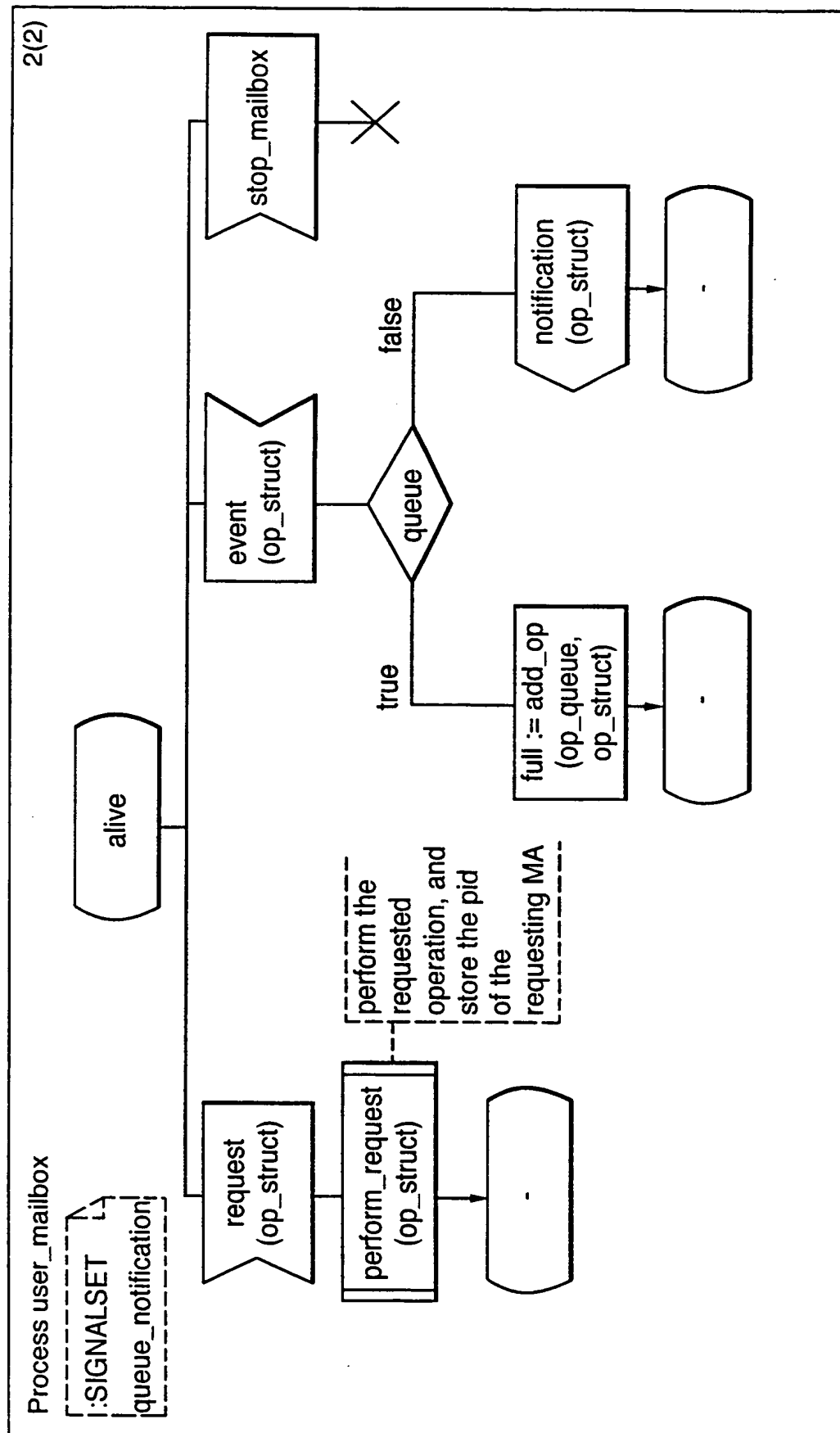
25/28

Fig.3w.



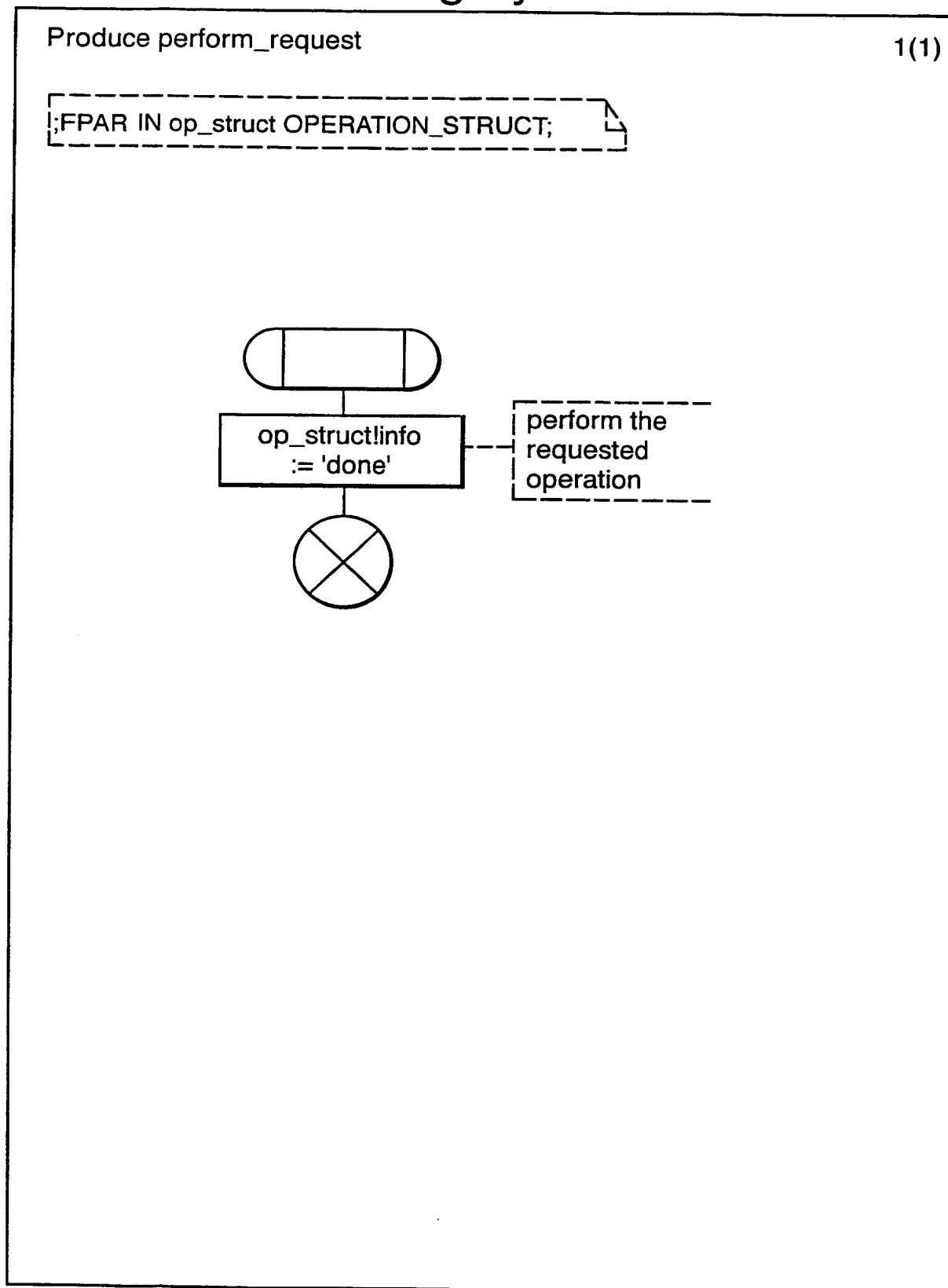
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Fig.3x.



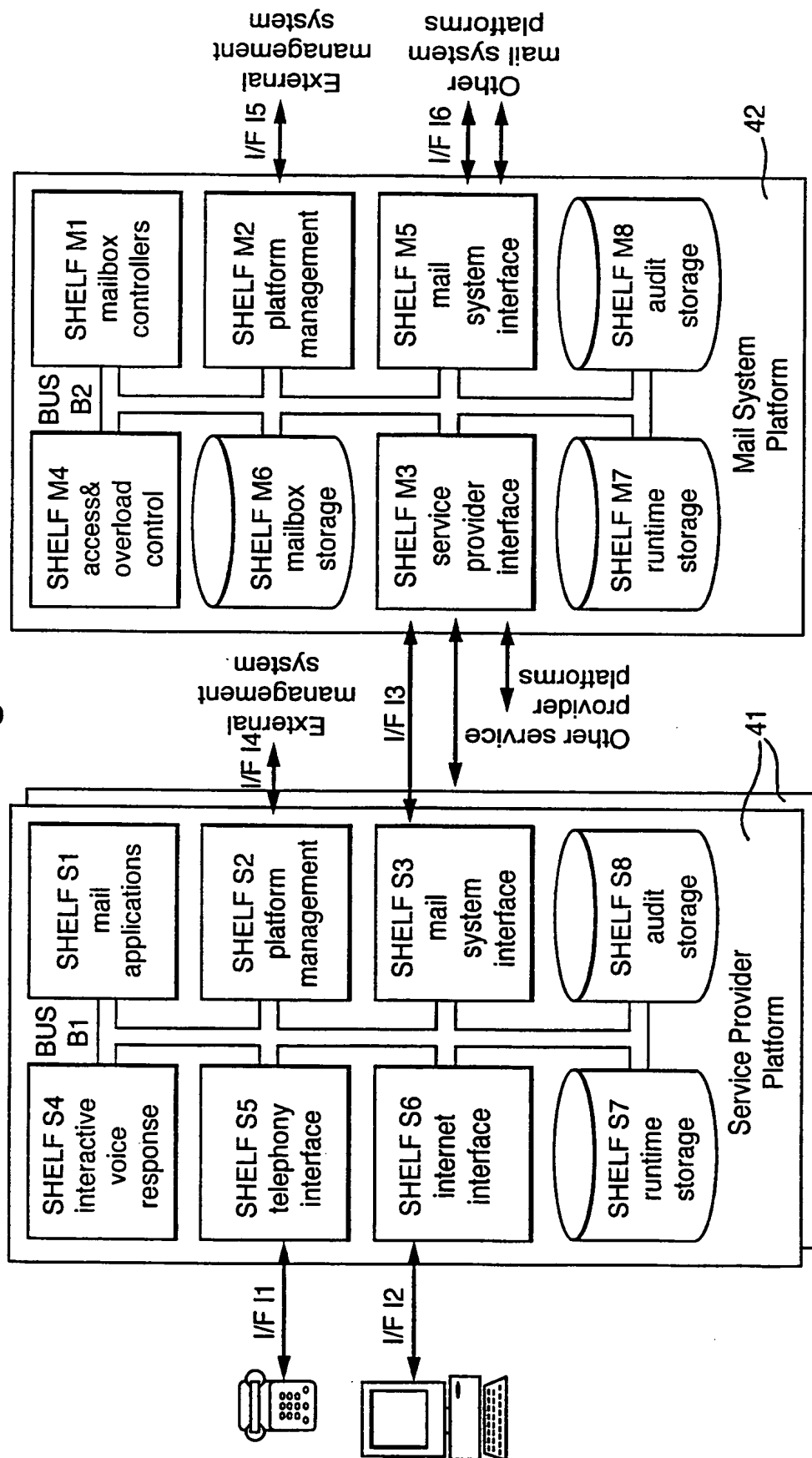
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Fig.3y.



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Fig.4.



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 99/03831

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 H04L12/58 H04M3/533

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04M G06F H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 757 669 A (CHRISTIE DAVID ET AL) 26 May 1998 (1998-05-26)	1, 10
A	column 17, line 64 - column 18, line 8	2-9, 11-16
P, X	EP 0 887 995 A (MURATA MACHINERY LTD) 30 December 1998 (1998-12-30)	1, 10
	column 1, line 50 - line 52	
A	US 5 557 320 A (KREBS MARK) 17 September 1996 (1996-09-17)	1-16
	column 8, line 23 - line 28	
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

26 January 2000

Date of mailing of the international search report

02/02/2000

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Fax: (+31-70) 340-3016

Authorized officer

Schweitz, M



# INTERNATIONAL SEARCH REPORT

Int. Patent Application No

PCT/GB 99/03831

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>CHOI D ET AL: "ISSUES IN ENTERPRISE E-MAIL MANAGEMENT"</p> <p>IEEE COMMUNICATIONS MAGAZINE, vol. 34, no. 4, 1 April 1996 (1996-04-01), pages 78-82, XP000586075</p> <p>page 80, column 2, paragraph 2 -page 81, column 1, line 37</p> <p style="text-align: center;">---</p>	<p>3-9, 12-16</p>
A	<p>US 4 251 684 A (REES FREDERICK H ET AL)</p> <p>17 February 1981 (1981-02-17)</p> <p>column 8, line 24 - line 34</p> <p style="text-align: center;">---</p>	<p>1-16</p>
A	<p>US 5 062 106 A (YAMAZAKI KATSUYUKI ET AL)</p> <p>29 October 1991 (1991-10-29)</p> <p>column 2, line 49 - line 54</p> <p>claim 5</p> <p style="text-align: center;">-----</p>	<p>1-16</p>

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 99/03831

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